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This action is funded by the European Union

## ANNEX 4

of the Commission Decision on the Annual Action Programme 2016 in favour of Somalia to be financed from the European Development Fund

### Action Document for Technical and Operational Support to Development Assistance to Somalia III

<b>1. Title/basic act/ CRIS number</b>	Technical and Operational Support to Development Assistance to Somalia III CRIS number: SO/FED/038-033 financed under 11 <sup>th</sup> European Development Fund (EDF)			
<b>2. Zone benefiting from the action/location</b>	Horn of Africa, Somalia The action shall be carried out at the following location: Somalia			
<b>3. Programming document</b>	11 <sup>th</sup> EDF National Indicative Programme for the Federal Republic of Somalia 2014 – 2020			
<b>4. Sector of concentration/ thematic area</b>	Public sector policy and administrative management	DEV. Aid: YES <sup>1</sup>		
<b>5. Amounts concerned</b>	Total estimated cost: EUR 9 300 000 Total amount of EDF contribution EUR 9 300 000			
<b>6. Aid modality and implementation modalities</b>	Project Modality  Direct management - procurement of services, Indirect management with the Federal Republic of Somalia			
<b>7 a) DAC code</b>	15110			
<b>b) Main Delivery Channel</b>	Public Sector Institutions - 10000			
<b>8. Markers (from CRIS DAC form)</b>	<b>General policy objective</b>	<b>Not targeted</b>	<b>Significant objective</b>	<b>Main objective</b>
	Participation development/good governance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> X
	Aid to environment	<input type="checkbox"/> X	<input type="checkbox"/>	<input type="checkbox"/>
	Gender equality (including Women In Development)	<input type="checkbox"/> X	<input type="checkbox"/>	<input type="checkbox"/>
	Trade Development	<input type="checkbox"/> X	<input type="checkbox"/>	<input type="checkbox"/>
	Reproductive, Maternal, New born	<input type="checkbox"/> X	<input type="checkbox"/>	<input type="checkbox"/>

<sup>1</sup> Official Development Aid is administered with the promotion of the economic development and welfare of developing countries as its main objective.

	and child health			
	<b>RIO Convention markers</b>	<b>Not targeted</b>	<b>Significant objective</b>	<b>Main objective</b>
	Biological diversity	<input type="checkbox"/> X	<input type="checkbox"/>	<input type="checkbox"/>
	Combat desertification	<input type="checkbox"/> X	<input type="checkbox"/>	<input type="checkbox"/>
	Climate change mitigation	<input type="checkbox"/> X	<input type="checkbox"/>	<input type="checkbox"/>
	Climate change adaptation	<input type="checkbox"/> X	<input type="checkbox"/>	<input type="checkbox"/>
<b>9. Global Public Goods and Challenges (GPGC) thematic flagships</b>	N/A			
<b>10. Sustainable Development Goals (SDGs)</b>	SDG 1: End poverty in all its forms everywhere			

## SUMMARY

The Somalia National Indicative Programme (NIP) (2014-2020) established a technical cooperation facility and support to the NAO's office as accompanying measures for the programming, preparation and implementation of actions in the NIP. The objective of the support measures which in turn is the objective of this action is to strengthen the quality of EU operations and engagement in the whole of Somalia. It aims at providing the National Authorising Officer (NAO) and the Commission a flexible instrument to facilitate preparation, implementation, monitoring and evaluation of programmes. Through the action, the NAO's office will be supported to carry out its role in managing EDF resources.

The action has two components: Technical cooperation facility (TCF) and Capacity building of the NAO Office. The TCF component has an objective of contributing to the efficient and effective identification, formulation, implementation and monitoring of EU funded programmes in Somalia. The NAO component is aimed at increased capacity of the NAO to discharge its role in managing EDF resources. This is foreseen as commensurate with the expected handover of NAO responsibilities.

## 1 CONTEXT

### 1.1 Sector/Country/Regional context/Thematic area

After more than two decades of civil conflict, Somalia is currently at a “turning point” in terms of positive political and security developments as well as the commitment of the international community for a sustainable resolution of the protracted crisis in the country. Somalia entered a new phase of peace-building, stability and recovery after the end of the political transition in September 2013 that gave way to a new Federal Government of Somalia (FGS), a provisional Constitution and a Parliament. Moreover, the Somali Compact that was endorsed at the EU-Somalia Conference in Brussels in September 2013 framed out political, security and development priorities. The election process in 2016 is expected to mark another step towards progressive political stability in the country.

The road towards building a federal Somalia continues in earnest with support from the FGS, regional states, the international community and implementing partners. Since 2012, the state formation process has advanced in the South and Central regions and so far three new

(interim) administrations have been established, i.e. Jubaland in 2013, South West State in late 2014 and Galmudug in 2015. The process is ongoing for the establishment of a state Hiiran/Middle Shabelle, which is due to be completed before the end of the current political dispensation. The status of Benadir/Mogadishu is likely to only be determined after 2016. This is in addition to the already existing northern administration of Somaliland and Puntland. Somaliland seeks autonomy and does not recognise authority by the FGS. Securing a peaceful environment, delivering of public services and building sustainable institutions remain a daunting task for the Government.

Somalia ratified the Cotonou Agreement on 3rd September 2013. In line with the Cotonou Agreement, each ACP country appoints a National Authorising Officer (NAO) to represent it in all operations financed from the resources of the European Development Fund (EDF). To this end, the FGS has appointed the Ministry of Planning and International Cooperation (MoPIC) as the future NAO. Article 35 of Annex IV to the Cotonou Agreement defines the role and responsibilities of the NAO. In consultation with MoPIC, the EU Delegation has embarked on strengthening the MoPIC and preparing for a handover of the NAO powers. This has been through dialogue, involvement of the government in programming, contracting and procurement processes as much as possible. It is envisaged that the handover will be done in the 2017.

The project is designed to continue the provision of support for the programming, preparation and implementation of the EU funded projects and programmes in Somalia. It will contribute to the quality of aid delivered by strengthening the capacity of the MoPIC in its future role as NAO Office to design, coordinate and implement the development programme efficiently.

#### ***1.1.1 Public Policy Assessment and EU Policy Framework***

The objectives of the action are in line with Somalia National Indicative Programme (2014-2020) which was guided by the aid effectiveness principles, the EU Agenda for Change and the Somali New Deal Compact. The NIP (2014-2020) supports three focal sectors: i) state-building and peace-building; ii) food security and building resilience; and iii) education. A technical cooperation facility which aims to support/accompany the programming, preparation or implementation of actions under the NIP is foreseen. Likewise, a cross-cutting chapter of the Somali Compact is capacity development to enhance the overall effectiveness of national and regional institutions.

Increasingly, the Somali Government has taken ownership of steering the development process and leads on the various coordination mechanisms through the compact governance architecture in particular the Peace and State Building (PSG) working groups and the Somali Development and Reconstruction Facility (SDRF). Likewise, MoPIC is spearheading the drafting of the National Development Plan (2017-2019), which will be a guiding document for future EU programming. In line with the aid effectiveness principles, the EU Delegation has stepped up policy dialogue with the Government as well as consultations with the line ministries and MoPIC on programming, contracting and implementation of EU funded programmes.

#### ***1.1.2 Stakeholder analysis***

The key direct stakeholders and beneficiaries of this project are: the MoPIC (the NAO), the line ministries and regions and federal states of Somalia.

Other stakeholders who can be recipient of aid and whose projects will benefit from the results of the identification, programming and evaluation exercises include:

- Implementing agencies of the EU funded programmes
- Local authorities as well as the target population who have an interest in the effective implementation of the proposed measures
- Non-Governmental organizations and civil society organizations
- Service providers which will benefit from the contract services.

### **1.1.3 Priority areas for support/problem analysis**

The FGS faces enormous challenges in building sustainable institutions and service delivery to its people. The long civil war destroyed physical infrastructure, assets and equipment of public institutions. Many ministries continue to face challenges of insufficient offices, limited human resources capacities and other operational means. The existence of adequate technical support as well as experienced and knowledgeable experts is essential to overcome these shortcomings. Further, an increased support to the future NAO's office will be commensurate to its expected role once the NAO responsibilities are fully transferred.

Prioritisation will therefore take into account:

1. Capacity building of the future NAO office in view of the following:
  - Handover process;
  - Future NAO's capacities through technical assistance, and office infrastructure;
  - An expansion of NAO's responsibilities linked to the expected increased use of the indirect management implementation modality as opposed to the direct management and;
  - Increased role to be played by the future NAO in the implementation of the 11th EDF
2. Technical assistance for the engagement of short to medium-term consultants to assist in the main stages of the project cycle to ensure the effective implementation of EU programmes in favour of the country's development strategy. It will also enhance the visibility of EU's development cooperation in Somalia.

## **2 RISKS AND ASSUMPTIONS**

<b>Risks</b>	<b>Risk level (H/M/L)</b>	<b>Mitigating measures</b>
Limited capacities in Ministry of Planning and International Cooperation (MoPIC) and line ministries to programme, prepare, implementation and monitor EU funded projects/programmes for the first time.	M	The EU will facilitate regular training/coaching and support to the implementing entities
Inadequate ownership of the EU technical assistance provided	M	<ul style="list-style-type: none"> <li>- Regular dialogue with future NAO, line Ministries and agencies &amp; federal states in the management and implementation of actions.</li> <li>- enhance demand-driven technical assistance.</li> <li>- Government participation in the selection process.</li> </ul>
Capacity building support undermined due to the departure of trained staff.	H	<ul style="list-style-type: none"> <li>- Regular dialogue with NAO and line ministries with regards to retaining key staff or taking necessary measures to avoid interruptions of implementation process.</li> </ul>

		<ul style="list-style-type: none"> <li>- Broad involvement of senior staff in the Ministries improves understanding, agreements and reduces the dependency on a limited number of staff.</li> <li>- Support to institutional memory through information and data management</li> </ul>
Absence of adequate quality technical assistance	M	Capacity building and backstopping support will allow for quality of technical assistance provided.
Security	H	<ul style="list-style-type: none"> <li>- The situation is closely monitored, and advice by the Regional Security Officer is followed.</li> <li>- EU and other international partners continue to support legitimate actors in the security sector with a view of consolidating and sustaining the peace.</li> </ul>
Failure by the FGS to reach an agreement with Somaliland on EDF funds management.	M	Political dialogue between the FGS and Somaliland will allow for an arrangement to channel funds to Somaliland.
<b>Assumptions</b>		
<ul style="list-style-type: none"> <li>– Security situation in Somalia allows access and implementation of the programmes.</li> <li>– Public management of financial resources improves.</li> <li>– Financial resources for the civil service will be available.</li> <li>– Government officials are committed to drive the action and engage all stakeholders.</li> <li>– Further advancement of the state formation process, leading to improved dialogue and cooperation between the federal Government and the regional states.</li> </ul>		

### **3 LESSONS LEARNT, COMPLEMENTARITY AND CROSS-CUTTING ISSUES**

#### **3.1 Lessons learnt**

The availability of quality technical assistance has contributed to the efficiency and effectiveness of the EU assistance programme in Somalia. It has enabled the EU play, consolidate and increase visibility on its leading role among the donor community. In particular, it has contributed to maintained, consistent and constructive dialogue, both formal and informal, with the Government, implementing partners, beneficiaries, civil society and non-State Actors. The availability of the technical assistance has allowed EU actions to be reviewed and adjusted to the Somali reality, hence retaining that level of flexibility necessary in a fragile context.

#### **3.2 Complementarity, synergy and donor coordination**

Several complementary initiatives will ensure that this action is appropriately supported and its results fully leveraged and rendered sustainable. This project will contribute to strengthened technical capacity in a broad range of interventions throughout Government. There is complementarity with interventions foreseen under the 11<sup>th</sup> EDF NIP in the sense that the TCF will be mainly used to finance ad hoc technical assistance and to prepare future activities in the focal sectors. The assistance provided would augment both support and capacity building measures where these cannot be provided within specific projects

themselves. There will be synergies with the World Bank and United Nations (UN) capacity building programmes.

The 11<sup>th</sup> EDF NIP is fully aligned with the Somalia New Deal Compact and with the EU's Agenda for Change. The FGS and development partners have subscribed to the mutual accountability framework of the Compact as well as to align their support to the priorities and coordination mechanisms agreed. The following coordination mechanism for regular dialogue, coordination and monitoring of international assistance in Somalia are in place: i) the High Level Partnership Forum is the main platform for dialogue and policy discussions on the compact implementation and a central mechanism for strategic coordination and information-sharing; ii) the Somalia Development and Reconstruction Facility (SDRF) Steering Committee is the body providing strategic oversight and guidance for the implementation of the New Deal Compact, including commitments related to policy, financing and aid delivery; and iii) the PSG Working Groups provide a technical forum for sectoral policy formulation, planning and programmatic co-ordination.

A specific donor coordination mechanism is provided by the Somalia Donor Group (SDG), which brings donors together on a regular basis. At the project level there are Joint Donor Committee, Steering Committees and/or project boards.

### 3.3 Cross-cutting issues

The proposed action will address crosscutting issues, in particular those referred to in the NIP, the New Deal Compact, and the Somalia National Development plan such as gender, environment, governance and human rights whenever required.

*Gender:* Gender equality will be considered at all levels and will remain an integral part of all planning and decision making processes. During implementation, the recommendations contained in the documents "Gender Equality and Women's Empowerment: Transforming the Lives of Girls and Women through EU External Relations 2016-2020", the "Gender Action Plan 2016-2020" Council conclusion, and "Toolkit on Mainstreaming Gender Equality in EC Development Cooperation" will be observed.

*Capacity Development:* The programmes will promote innovative, appropriate and effective solutions to capacity development that take into account local needs, priorities and the context.

*Environment:* Awareness of environmental issues and promotion of sustainable practices will be raised throughout the project implementation.

## 4 DESCRIPTION OF THE ACTION

### 4.1 Objectives/results

This programme is relevant for the United Nations 2030 Agenda for Sustainable Development. It contributes primarily to the progressive achievement of SDG target(s) **Goal 1: End poverty in all its forms everywhere**. This does not imply a commitment by the country benefiting from this programme.

The **overall objective** of the action is to strengthen the quality of EU operations and engagement in the whole of Somalia.

The **specific objectives** are to:

1. Contribute to the efficient and effective identification, formulation, implementation and monitoring of EU funded programmes in Somalia.
2. Increase the capacity of the NAO to manage EDF funds at Federal and regional level in order to ensure effective programming and implementation of EU funded projects and programmes.

The programme expects to achieve the following **results**:

**Result 1:** EU funded programmes are fully implemented and achieve the expected results;

**Result 2:** NAO Office increasingly participates in programming, implementation and monitoring of EU funded programmes in Somalia by 2020;

**Result 3:** An enhanced capacity of line ministries at national and sub national level, implementing agencies and NSAs (Non State Actors) to efficiently and effectively implement EU funded programmes in accordance with the provisions of the EU rules and procedures and;

**Result 4:** An enhanced visibility of EU's development cooperation in Somalia.

## **4.2 Main activities**

The main activities that will be carried out under the project are:

1. Technical assistance to assist with specific tasks related to project identification and formulation including assistance with tender procedures, evaluation and monitoring or audit of projects where a budget has not been provided for under the project itself. Consultants will also be engaged to support the Government in undertaking analytical and diagnostic studies to assist in the formulation of sector specific Government policies to ensure that aid programmes achieve the desired impact.
2. Facilitate coordination and consultation role of the NAO
3. Project monitoring, studies and evaluations.
4. Communication and visibility activities will be undertaken. These include among others project launch ceremonies, promotional materials, press conferences, and publication of advertisements.
5. Training of staff from the NAO and line ministries on EDF rules and procedures as well as project cycle management will be undertaken. Likewise, participation by NAO staff and Government staff to seminars on EU-ACP development cooperation and aid effectiveness initiatives will be facilitated.
6. Support the NAO with office infrastructure, furniture and equipment.
7. Participation in the implementation of regional programmes funded from the EDF resources.

## **4.3 Intervention logic**

The Somalia NIP (2014-2020) established the need for accompanying measures such as a technical cooperation facility and support to the NAO's office. The action will allow for technical assistance to assist in the main stages of the project cycle. Likewise, increased support to the NAO office is foreseen commensurate with the expected handover and increased role in the implementation of the 11<sup>th</sup> EDF and other EU funded programmes.

A detailed Indicative Log frame matrix is presented in the Appendix.

## **5 IMPLEMENTATION**

### **5.1 Financing agreement**

In order to implement this action, it is foreseen to conclude a financing agreement with the partner country, referred to in Article 17 of Annex IV to the ACP-EU Partnership Agreement.

### **5.2 Indicative implementation period**

The indicative operational implementation period of this action, during which the activities described in section 4.2 will be carried out and the corresponding contracts and agreements implemented, is 48 months from the date of entry into force of the financing agreement.

Extensions of the implementation period may be agreed by the Commission's authorising officer responsible by amending this decision and the relevant contracts and agreements; such amendments to this decision constitute non-substantial amendment in the sense of Article 9(4) of Regulation (EU) 2015/322.

### **5.3 Implementation of the budget support component**

N/A

### **5.4 Implementation modalities**

#### *5.4.1 Procurement (direct management)*

Subject in generic terms, if possible	Type (works, supplies, services)	Indicative number of contracts	Indicative trimester of launch of the procedure
Technical assistance	Services	4	1 <sup>st</sup> and 3 <sup>rd</sup>
Studies, monitoring and evaluations	Services	5	2nd trimestre 2017 1st trimestre 2018 3rd trimestre 2018 2nd trimester 2019 3rd trimester 2022

#### *5.4.2 Indirect management with the partner country*

A part of this action with the objective of increase the capacity of the NAO to manage EDF funds may be implemented in indirect management with Somalia in accordance with Article 58(1)(c) of the Regulation (EU, Euratom) No 966/2012 applicable in accordance with Article 17 of the Regulation 2015/323 according to the following modalities:

The partner country will act as the contracting authority for the procurement and grant procedures. The Commission will control ex ante all the procurement procedures except in cases where programme estimates are applied, under which the Commission applies ex ante control for procurement contracts above EUR 100 000 (or lower, based on a risk assessment) and may apply ex post control for procurement contracts up to that threshold. The Commission will control ex ante the grant procedures for all grant contracts.

Payments are executed by the Commission except in cases where programmes estimates are applied, under which payments are executed by the partner country for ordinary operating costs, direct labour and contracts below EUR 300 000 for procurement and up to EUR 100 000 for grants.



The financial contribution partially covers, for an amount of EUR 455 000, the ordinary operating costs incurred under the programme estimates.

In accordance with Article 190(2)(b) of Regulation (EU, Euratom) No 966/2012 and Article 262(3) of Delegated Regulation (EU) No 1268/2012 applicable in accordance with Article 36 of the Regulation 2015/323 and Article 19c(1) of Annex IV to the ACP-EU Partnership Agreement, the partner country shall apply procurement rules of Chapter 3 of Title IV of Part Two of Regulation (EU, Euratom) No 966/2012. These rules, as well as rules on grant procedures in accordance with Article 193 of Regulation (EU, Euratom) No 966/2012 applicable in accordance with Article 17 of the Regulation (EU) 2015/323, will be laid down in the financing agreement concluded with the partner country.

## **5.5 Scope of geographical eligibility for procurement and grants**

The geographical eligibility in terms of place of establishment for participating in procurement and grant award procedures and in terms of origin of supplies purchased as established in the basic act and set out in the relevant contractual documents shall apply, subject to the following provisions.

The Commission's authorising officer responsible may extend the geographical eligibility in accordance with Article 22(1)(b) of Annex IV to the ACP-EU Partnership Agreement on the basis of urgency or of unavailability of products and services in the markets of the countries concerned, or in other duly substantiated cases where the eligibility rules would make the realisation of this action impossible or exceedingly difficult.

## **5.6 Indicative budget**

	<b>EU contribution (in EUR)</b>	<b>Indicative third party contribution</b>
<b>Technical Cooperation Facility and Capacity Building of NAO – Results 1, 2, 3 and 4</b>		
<b>5.4.1. – Procurement (direct management). –</b>		
Technical assistance, Studies, Monitoring and Evaluations	4 300 000	N/A
<b>5.4.2. – Indirect management with Federal Republic of Somalia</b>		
Programme Estimates	3 900 000	N/A
5.9 – Evaluation, 5.10 - Audit	300 000	N/A
5.11 – Communication and visibility	50 000	N/A
Contingencies	750 000	N/A
<b>Totals</b>	<b>9 300 000</b>	<b>N/A</b>

## **5.7 Organisational set-up and responsibilities**

With the signature of the financing agreement, the overall responsibility for the implementation of the programme lies with the NAO for Somalia. The project supervisor shall be NAO Unit within Ministry of Planning and International Cooperation (MoPIC). A Steering Committee shall be established to oversee and validate the overall direction of the project. The Committee shall meet at least quarterly a year and will be responsible for approval of the Programme Estimates, work plans, reports and evaluations. Regular meetings and dialogues between the NAO and the EU Delegation will be organised. Technical Assistance, composed of international, regional and local experts according to the complexity of the tasks to be fulfilled, can be recruited under service contract to assist the NAO in implementing the Programme. The Technical assistants recruited will work under Terms of Reference agreed by both the Contracting Authority and the Head of Delegation. The NAO will directly contract or authorise the European Union Delegation to contract on its behalf.

## **5.8 Performance monitoring and reporting**

The day-to-day technical and financial monitoring of the implementation of this action will be a continuous process and part of the implementing partner's responsibilities. To this aim, the implementing partner shall establish a permanent internal, technical and financial monitoring system for the action and elaborate regular progress reports (not less than annual) and final reports. Every report shall provide an accurate account of implementation of the action, difficulties encountered, changes introduced, as well as the degree of achievement of its results (outputs and direct outcomes) as measured by corresponding indicators, using as reference the logframe matrix. The report shall be laid out in such a way as to allow monitoring of the means envisaged and employed and of the budget details for the action. The final report, narrative and financial, will cover the entire period of the action implementation.

The Commission may undertake additional project monitoring visits both through its own staff and through independent consultants recruited directly by the Commission for independent monitoring reviews (or recruited by the responsible agent contracted by the Commission for implementing such reviews).

## **5.9 Evaluation**

Having regard to the nature of the action, a mid-term and final evaluations will be carried out for this action or its components via independent consultants contracted by the Commission.

The mid-term evaluation will be carried out for learning purposes, in particular with respect to the implementation and adjustment of the programme estimates.

The final evaluation will be carried out for accountability and learning purposes at various levels (including for policy revision), taking into account in particular the fact that the overall responsibility for the implementation of the programme lies with the NAO for Somalia who are managing EDF funds for the first time.

The Commission shall inform the implementing partner at least 30 days in advance of the dates foreseen for the evaluation missions. The implementing partner shall collaborate efficiently and effectively with the evaluation experts, and inter alia provide them with all necessary information and documentation, as well as access to the project premises and activities.

The evaluation reports shall be shared with the partner country and other key stakeholders. The implementing partner and the Commission shall analyse the conclusions and recommendations of the evaluations and, where appropriate, in agreement with the partner country, jointly decide on the follow-up actions to be taken and any adjustments necessary, including, if indicated, the reorientation of the project.

Indicatively, two contracts for evaluation services shall be concluded in 2019 and 2021.

#### **5.10 Audit**

Without prejudice to the obligations applicable to contracts concluded for the implementation of this action, the Commission may, on the basis of a risk assessment, contract independent audits or expenditure verification assignments for one or several contracts or agreements.

Indicatively, 4 contracts for audit services shall be concluded in 2019, 2021 and 2022.

#### **5.11 Communication and visibility**

Communication and visibility of the EU is a legal obligation for all external actions funded by the EU.

This action shall contain communication and visibility measures which shall be based on a specific communication and visibility plan of the action, to be elaborated at the start of implementation and supported with the budget indicated in section 5.6 above.

In terms of legal obligations on communication and visibility, the measures shall be implemented by the Commission, the partner country, contractors, grant beneficiaries and/or entrusted entities. Appropriate contractual obligations shall be included in, respectively, the financing agreement, procurement and grant contracts, and delegation agreements.

The Communication and Visibility Manual for European Union External Action shall be used to establish the Communication and Visibility Plan of the Action and the appropriate contractual obligations.

Visibility activities will be financed from the Programme Estimate. A separate budget in direct management by the European commission for specific visibility activities is included.

## APPENDIX - INDICATIVE LOGFRAME MATRIX (FOR PROJECT MODALITY) <sup>2</sup>

The activities, the expected outputs and all the indicators, targets and baselines included in the logframe matrix are indicative and may be updated during the implementation of the action, no amendment being required to the financing decision. When it is not possible to determine the outputs of an action at formulation stage, intermediary outcomes should be presented and the outputs defined during inception of the overall programme and its components. The indicative logframe matrix will evolve during the lifetime of the action: new lines will be added for including the activities as well as new columns for intermediary targets (milestones) for the output and outcome indicators whenever it is relevant for monitoring and reporting purposes. Note also that indicators should be disaggregated by sex whenever relevant.

	Results chain	Indicators	Baselines (incl. reference year)	Targets (incl. reference year)	Sources and means of verification	Assumptions
Overall objective: Impact	The overall objective of the action is to strengthen the quality of EU operations and engagement in the whole of Somalia.	1. Somali Compact indicators 2. National Development Plan (NDP) indicators	1. Compact progress report 2015 2. NDP Indicators 2016	1. Compact targets 2. NDP targets	1. Compact progress and evaluation reports 2. NDP reports	
Specific objective(s): Outcome(s)	1. Contribute to the efficient and effective identification, formulation, implementation and monitoring of EU funded programmes in Somalia.	1.1. % of project identification and formulation fiches submitted within the deadline  1.2. % of projects jointly identified and monitored  1.3. Improved quality of proposals and implementation results achieved	1.1. 40% of NIP committed in 2015  1.2. Baseline: 0  1.3. 40% of secondary commitment in NIP	1.1. 100% of the NIP committed by 2020.  1.2 At least 70%  1.3. At least 70%	1.1. Mid- term & End term review of the 11th EDF NIP, Delegation annual EAMR reports  1.2. NAO Implementation reports, Third party monitoring reports  1.3. ROMs (Result Oriented Monitoring) and EPRR (Emergency Preparedness, Resilience and Response) reports	Government committed to political and economic stability

<sup>2</sup> Indicators aligned with the relevant programming document are marked with '\*' and indicators aligned to the EU Results Framework with '\*\*'.

	2. Increase the capacity of the NAO to manage EDF funds at Federal and regional level in order to ensure effective programming and implementation of EU funded projects and programmes.	2.1. No of bankable projects submitted by the Government  2.2. NAO office functional with skilled staff, systems and infrastructure  2.3. No of visibility and communication events jointly organised.	2.1. Baseline: 0  2.2. Baseline: 0  2.3. Baseline: 0	2.1. By end of 2020, the Government has formally submitted at least two bankable projects  2.2. NAO office structure fully established and operational  2.3. At least 4 visibility and communication events jointly organised annually.	2.1. QSG (Quality Support Group) reports  2.1. /2.2. /2.3. NAO Implementation reports  2.3. Minutes & reports of visibility and communication events	Government committed to the objectives of the NIP and the wider aid effectiveness commitments
Outputs	a) EU funded programmes are fully implemented and achieve the expected results.	1.1.1. Proportion of projects executed on schedule and according to EDF rules  1.1.2. Mid-term review approved by HQ (Headquarters)  1.1.3. No. of studies and analytical work financed from the TCF	1.1.1. 40% of NIP in 2015  1.1.2. TOR (Terms of References) for Mid-term review prepared  1.1.3. Baseline: 0	1.1.1. 100% of the NIP committed by 2020  1.1.2. Mid-term review completed  1.1.3. At least 1 study annually	1.1.1. End term review of the 11th EDF NIP  1.1.2. Mid-term review report, NIP  1.1.3. Delegation annual EAMR (External Assistance Management Report) reports, Studies, Analytical reports	Human resources with the right technical expertise are assigned to the NAO's office  Relations between FGS and EU remain cordial NSAs are willing to engage with the Government in constructive debate

	b) NAO Office increasingly participates in programming, implementation and monitoring of EU funded programmes in Somalia by 2020;	1.2.1. Number of projects monitored and evaluate with NAO involvement  1.2.2. No of trainings, workshops for NAO staff	1.2.1. Baseline: 0  1.2.2. At least one training per year (2015)	1.2.1. At least 70%  1.2.2. At least 2 trainings per year	1.2.1. NAO Implementation reports  1.2.2. Delegation annual EAMR reports	
	c) An enhanced capacity of line ministries, implementing agencies and NSAs to efficiently and effectively implement EU funded programmes in accordance with the provisions of the EU rules and procedures.	1.3.1. Number of dialogue meetings held with the participation of civil society  1.3.2. No. and overall quality of awareness activities, seminars and workshops organised.	1.3.1: Baseline: At least one meeting per year (2015)  1.3.2 Baseline: 0	1.3.1. Baseline: At least two meeting per year  1.3.2. At least one awareness activity, seminars/ workshop per line ministry	1.3.1. Minutes of dialogue meetings held with civil society  1.3.2. EAMR reports, Minutes	
	d) An enhanced visibility of EU's development cooperation in Somalia.	1.4.1. Number of projects with communication and visibility plans  1.4.2. Number of projects with EU visibility at handover ceremonies and other relevant functions	1.4.1. 70% projects with a communication plan (2015)  1.4.2. 30%	1.4.1. 100% of programmes have a communication and visibility plan (2020).  1.4.2. At least 70%	1.4.1., 1.4.2 . Delegation annual EAMR reports , Visibilty events reports	