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THIS ACTION IS FUNDED BY THE EUROPEAN UNION

ANNEX 5

to the Commission Implementing Decision on the financing of the multi-annual action plan for Global Challenges (Partnerships) for 2023-2024

Action Document for Support Measures

MULTIANNUAL PLAN

This document constitutes the multiannual work programme in the sense of Article 110(2) of the Financial Regulation, and action measures in the sense of Article 23(1) of NDICI-Global Europe Regulation.

1 SYNOPSIS

1.1 Action Summary Table

1. Title CRIS/OPSYS business reference Basic Act	Support Measures OPSYS reference: ACT-61998 Financed under the Neighbourhood, Development and International Cooperation Instrument (<u>NDICI-Global Europe</u>)
2. Team Europe Initiative	<input checked="" type="checkbox"/> Not applicable
3. Zone benefiting from the action	The action shall be carried out in all countries eligible for support under the thematic programme
4. Programming document	NDICI-Global Europe Global Challenges Multiannual Indicative Programme (MIP) 2021 - 2027
5. Link with relevant MIP(s) objectives / expected results	This action will contribute particularly to the Partnership priority of the MIP on Global Challenges Priority Areas 1, 2 and 3: (1) Enhanced global and multilateral partnerships, (2) Stronger economic governance and inclusive societies, and (3) Strengthened local authorities at global level
PRIORITY AREAS AND SECTOR INFORMATION	
6. Priority Area(s), sectors	Not applicable
7. Sustainable Development Goals (SDGs)	Main SDG: 17 partnerships for the goals Other significant SDGs: SDG 5 Gender Equality, SDG 8 decent work and economic growth SDG 10 Reduced inequalities, SDG 16 Peace, Justice, and strong institutions
8 a) DAC code(s)	43010 – Multisector aid

8 b) Main Delivery Channel	N/A				
9. Targets	<input type="checkbox"/> Migration <input type="checkbox"/> Climate <input checked="" type="checkbox"/> Social inclusion and Human Development <input checked="" type="checkbox"/> Gender <input type="checkbox"/> Biodiversity <input type="checkbox"/> Education <input checked="" type="checkbox"/> Human Rights, Democracy and Governance				
10. Markers (from DAC form)	General policy objective	Not targeted	Significant objective	Principal objective	
	Participation development/good governance	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Aid to environment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Gender equality and women's and girl's empowerment	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	Reproductive, maternal, new-born and child health	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Disaster Risk Reduction	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Inclusion of persons with Disabilities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Nutrition	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	RIO Convention markers	Not targeted	Significant objective	Principal objective	
	Biological diversity	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Combat desertification	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Climate change mitigation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Climate change adaptation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	11. Internal markers and Tags:	Policy objectives	Not targeted	Significant objective	Principal objective
		Digitalisation	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
digital connectivity digital governance digital entrepreneurship digital skills/literacy digital services		YES <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	NO <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
Connectivity		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

	transport people2people energy digital connectivity	YES <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	NO <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
	Migration	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Reduction of Inequalities	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Covid-19	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
BUDGET INFORMATION				
12. Amounts concerned	Budget line14.020241 Total estimated cost for 2023: EUR 300 000 Total amount of EU budget contribution for 2023 EUR 300 000			
MANAGEMENT AND IMPLEMENTATION				
13. Type of financing	Direct management through: Procurement			

1.2 Summary of the Action

This proposed Action will provide the necessary support for the sound implementation of the Global Challenges (Partnership) Thematic programme, the achievement of its expected objectives and results, and the measurement analysis and reporting on its impact. This Action will cover expenditures associated with the preparation, follow up, monitoring, evaluation, and audit activities related to the implementation of the NDICI and to the achievement of its objectives in the area of rules-based multilateralism and partnerships, strengthening the role of local authorities, and promoting equitable inclusive societies and multi-stakeholder initiatives.

Such measures (audits, evaluations, identifications, studies, meetings, information sessions, publications, training activities and any other administrative or technical assistance expenditure, including interests for late payments, etc.) contribute to the sound management of the programme, to the achievement of its expected results and objectives and to the measurement, analysis and reporting on the impact. This action will be implemented in a COVID-19 context and adapted as necessary for a successful completion.

The objectives of this action will mainly be achieved through service contracts directly managed by the competent European Commission services. This is justified by the nature of the activities (trainings, communications, studies, visibility actions, events, evaluations or audits).

The action will contribute to the realisation of the EU Gender Action Plan 2021-2025 GAP III, in particular to its thematic area of engagement “Advancing equal participation and leadership” and “Strengthening economic and social rights and empowering girls and women ”.

2 RATIONALE

2.1 Context

The Support Measures of the Partnership Priority area of the MIP Global Challenges are designed to cover expenditures associated with the preparation, follow up, monitoring, evaluation and audit activities related to the implementation of the NDICI Global Challenges Partnerships MAAP 2023-2024 and to the achievement of its objectives in the area of rules-based multilateralism and partnerships, strengthening the role of local authorities, and promoting equitable inclusive societies and multi-stakeholder initiatives. Such measures (audits, evaluations, identifications, studies, meetings, information sessions, training activities and any other administrative or technical assistance expenditure etc.) contribute to the sound management of the programme, to the achievement of its expected results and objectives and to the measurement, analysis and reporting on the impact.

This form of multilateralism is closely linked to the ‘people’ priority of the MIP Global Challenges and requires deep interaction with civil society -in particular, networks and associations representing women’s and youth organisations-, the private sector, social stakeholders, local and regional authorities, and other stakeholders through appropriate public diplomacy initiatives, so as to help shape truly inclusive international governance and multilateralism.

The European Consensus for Development¹ underlines the importance of supporting the civil society space and participation and recognizes the political role of CS and the importance of CS in democratization. The EU is also strongly committed to reducing inequalities for persons with disabilities in line with the EU disability strategy 2020-2030² and has ratified the UN Convention on the Rights of Persons with Disabilities³.

2.2 Problem Analysis

Short problem analysis: All actions falling under the framework of the MIP Global Challenges, Partnership, are a priority area for support.

Main stakeholders

Identification of main stakeholders and corresponding institutional and/or organisational issues (mandates, potential roles, and capacities) to be covered by the action: This action provides support to the European Commission services at Headquarters and in EU Delegations, for the preparation, follow up, monitoring, evaluation and audit activities related to the implementation of the MIP Global Challenges MAAP 2023-2024 for Partnerships and to the achievement of the European Union’s objectives in the area of rules-based multilateralism and partnerships, strengthening the role of local authorities, and promoting inclusive societies and multi-stakeholder initiatives. Inclusivity is crucial, as it ensures the relevance and legitimacy of decisions and institutions.

The EU will rely on methodologically sound and policy-relevant empirical research and develop the evidence base for its policies by supporting the evaluation of the effectiveness of its programmes. Robust knowledge, innovation and technical assistance will be required to support transformative action in all areas included in the MIP Global Challenges Partnerships pillar, supporting international policy and normative work, and providing partner countries and EU Delegations with expertise, knowledge and capacity development, including key mainstreaming topics such as gender and human rights.

¹ 2017 new European Consensus on Development. <https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=OJ:C:2017:210:F...>

² <https://ec.europa.eu/social/main.jsp?catId=738&langId=en&pubId=8376&furtherPubs=yes>

³ <https://www.un.org/development/desa/disabilities/convention-on-the-rights-of-persons-with-disabilities.html>

3 DESCRIPTION OF THE ACTION

3.1 Objectives and Expected Outputs

The Overall Objective (Impact) of this action is to contribute to the Specific Objective 1 *Enhanced global and multilateral partnerships*, 2 *Stronger economic governance and inclusive societies* and 3 *Strengthened local authorities at global level* of MIP Global Challenges Partnerships by providing relevant support services.

The Specifics Objectives (Outcomes) of this action are to

1. Support related activities and practitioners involved in actions funded through the NDICI with specific analyses, seminars, workshops and training courses, and possible cooperation strategies.
2. Reinforce auditing and evaluation activities of the MIP Global Challenges programmes and actions.
3. Provide support in the design of projects and programmes which requires a more in-depth analysis, including on cross-cutting issues such as gender.
4. Provide support in the overall monitoring and evaluation of the programme, which will help capitalisation of lessons learnt for further implementation of the programme in the coming years and will strengthen the global knowledge on gender equality and the human rights-based approach and its dissemination and use by partners.

The Outputs to be delivered by this action are contributing to the corresponding Specific Objectives (Outcomes) are:

- 1.1 Studies and advisory services, trainings, seminars, conferences, workshops, meetings and production of related publications.
- 2.1 Risk-based audits and evaluations.
- 3.1 Technical support for the Identification and Formulation phases of new actions, including gender analysis and integration of the human rights-based approach.
- 4.1 Technical support for the overall monitoring, evaluation, and impact assessment of the programme and related cross-cutting issues such as gender.

3.2 Indicative Activities

Activities related to Output 1.1

Studies and advisory services, trainings, seminars, conferences, workshops, meetings and production of related publications. The main objective of this type of activities is to support rules-based multilateralism and related activities and practitioners involved in actions funded through the NDICI (particularly the GPGC) with specific analyses, with seminars, workshops and training courses, possible cooperation strategies, to ensure delivery of the results of the programme while increasing efficiency and effectiveness.

Activities related to Output 2.1:

Risk-based audits and evaluations. The objective is to reinforce auditing and evaluation activities in order to ensure the proper and sound management of EU funds as well as the assessment of the impact of actions relevant to enhancing multilateral cooperation financed by the NDICI.

Activities related to Output 3.1:

Technical support for the Identification and Formulation phases of new actions. The objective is to provide support in the design of projects and programmes which requires a more in-depth analysis, including on cross-cutting issues such as gender.

Activities related to Output 4.1:

Technical support for the overall monitoring, evaluation, and impact assessment of the programme. The objective is to provide support in the overall monitoring and evaluation of the programme, which will help capitalisation of lessons learnt for further implementation of the programme in the coming years.

3.3 Mainstreaming

Environmental Protection & Climate Change

Outcomes of the EIA (Environmental Impact Assessment) screening (

The EIA (Environment Impact Assessment) screening classified the action as Category C (no need for further assessment).

Outcome of the CRA (Climate Risk Assessment) screening

The Climate Risk Assessment (CRA) screening concluded that this action is no need for further assessment

Gender equality and empowerment of women and girls

As per OECD Gender DAC codes identified in section 1.1, this action is labelled as G1.

At all stages gender-responsive rights-based approach principles participation, non-discrimination/equality, accountability and transparency will guide the planning and implementation of the Action and specifically the desk review.

Studies and advisory services will fully integrate gender aspects and evidence generation will be based on data disaggregated by sex and other intersectional categories. The knowledge development part will promote gender-specific assessments such as gender analysis.

When providing technical support for the planning, monitoring and evaluation of projects, gender-specific indicators will be included, and all quantitative project data will be aggregated by sex.

In the capacity building part every effort will be made to ensure gender balance and to prioritise the active participation of women in the rules-based multilateralism. The action will work with partners to ensure a balanced representation of women and men to the greatest extent possible (e.g. the action will not propose or accept single-gender workshops, panels, etc.).

The action contributes to the objectives of the Gender Action Plan III (GAP III, 2021-2025).

Human Rights

At all stages gender-responsive human rights-based approach principles participation, non-discrimination/equality, accountability and transparency applying to all rights will guide the planning and implementation of the Action. The 'Global Challenges' Programme, is a human rights-based approach will be promoted, leaving no-one behind. The Action will improve the capacities of partners for them to apply the human rights-based approach to programmes to contribute to human rights commitments and for safeguard the basic rights of right-holders, including vulnerable groups.

Disability

As per OECD Disability DAC codes identified in section 1.1, this action is labelled as D0. This implies that the action is not considered relevant for the inclusion of person with disabilities. However, the Action recognizes the importance of EU's commitments on disabilities to promote rules-based multilateralism and global governance.

The Action will ensure that rights of persons with disabilities will be respected, and the planned activities related with training, workshops and others are disability inclusive. The Action will encourage partners and programme participants to take the initiatives to protect persons with disabilities and invite organisations representing people with disabilities as much as possible.

Reduction of inequalities

The action will indirectly contribute to reducing inequalities by promoting evidence-based data on children on the move and informing human rights-based policies.

Democracy

The action contributes to the improvement of inclusive and rules-based order and multilateral governance through reliable partnerships along the core values of the European Union. The Action targets as horizontal objective to strengthening the role local authorities at global level and ensure inclusive and participatory societies.

Conflict sensitivity, peace and resilience

The action will indirectly contribute to peace and resilience. More effective multilateral governance and rules-based international cooperation are at the core to achieving holistic solutions, to achieving the SDGs and are at the centre of our efforts to tackle root causes of global challenges.

3.4 Risks and Lessons Learnt

Category	Risks	Likelihood (High/ Medium/ Low)	Impact (High/ Medium/ Low)	Mitigating measures
1. External environment 2. Planning, processes and systems	Partner stakeholders lacking ownership of the Action	L	M	Engage dialogue throughout the action management at people/country/organisation level
2. Planning, processes and systems	Insufficient budgets/funds	M	M	Keep close communication on spending situation, diversify contributor base
2. Planning, processes and systems	N+1 contracting deadline insufficient to contract all funds	L	M	Financial commitment booked so as to allow full use within the N+1 deadline
3. People and the organisation	Small on-demand services and high managerial load	M	M	Establish and maintain a direct link between the implementing actors concerned
5. Communication and information	Limited access to granular information on the on-site implementation of the Action	L	M/H	Enhance coordination in-house – through e.g. Delegations – and beyond, encourage document sharing and <i>in situ</i> coordination
2. Planning, processes and systems 5. Communication	A gender-blind, neutral, or negative context and problem analysis could reinforce existing	M	M	Knowledge and tools of gender mainstreaming are available. Gender-sensitive monitoring, use of sex-disaggregated data, and gender-sensitive indicators. Gender mainstreaming is applied in all

and information	gender inequalities and non-realization of human rights in the sector, and hinder the efficiency and sustainability of the action			phases of the support services.
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Lessons Learnt:

This action will implement Support Measures for the first time of the new NDICI – Global Europe, in the area of intervention ‘Global Challenges’. An accurate, structured and bilaterally/multilaterally-undertaken identification and formulation of projects or programmes is the key to a successfully implemented action. However, as project implementation unfolds, quite often complementary needs arise for specific evaluation, studies, events, trainings and other capacity-building activities. It is essential that lessons learnt from both closed and on-going projects are taken into account when identifying new actions in similar or complementary areas.

Support Measures constitute indeed a tool for development. They shall always aim at meaningfully and coherently accompanying EU cooperation objectives, to further enhance the impact and relevance of EU support.

3.5 The Intervention Logic

The Commission may adopt support measures as part of action plans under Article 23(1) NDICI. Support measures may cover inter alia:

- (a) studies, meetings, information, awareness-raising, training, preparation and exchange of lessons learnt and best practices, publication activities and any other administrative or technical assistance expenditure necessary for the programming and management of actions, including remunerated external experts;
- (b) research and innovation activities and studies on relevant issues and the dissemination thereof, including on cross-cutting issues such as gender and human rights;

3.6 Logical Framework Matrix

Given the nature of this Action a Logical Framework Matrix is not required at Action level.

4 IMPLEMENTATION ARRANGEMENTS

4.1 Financing Agreement

In order to implement this action, it is not envisaged to conclude a financing agreement with partner countries.

4.2 Indicative Implementation Period

The indicative operational implementation period of this action, during which the activities described in section 3 will be carried out and the corresponding contracts and agreements implemented, is 60 months from the date of adoption by the Commission of this Financing Decision.

Extensions of the implementation period may be agreed by the Commission’s responsible authorising

officer by amending this Financing Decision and the relevant contracts and agreements.

4.3 Implementation Modalities

The Commission will ensure that the EU appropriate rules and procedures for providing financing to third parties are respected, including review procedures, where appropriate, and compliance of the action with EU restrictive measures⁴.

4.3.1 Direct Management (Procurement)

The full set of objectives/results listed in section 3 will be supported through the procurement procedures

4.4 Scope of geographical eligibility for procurement and grants

The geographical eligibility in terms of place of establishment for participating in procurement and grant award procedures and in terms of origin of supplies purchased as established in the basic act and set out in the relevant contractual documents shall apply.

The Commission's authorising officer responsible may extend the geographical eligibility on the basis of urgency or of unavailability of services in the markets of the countries or territories concerned, or in other duly substantiated cases where application of the eligibility rules would make the realization of this action impossible or exceedingly difficult (Article 28(10) NDICI-Global Europe Regulation).

4.5 Indicative Budget

Indicative Budget components	EU contribution (amount in EUR)	Third-party contribution, in currency identified
Implementation modalities – cf. Section 4.3.	-	-
Objective: Outputs Section 3.1 composed of	-	-
Procurement (direct management)	300 000	N.A.
Evaluation Audit	May be covered by another Decision	N.A.
Totals	300 000	N.A.

4.6 Organisational Set-up and Responsibilities

The contracting authority for the action shall be the European Commission. The overall responsibility for the implementation of the action lies with the relevant services of the European Commission at the headquarters level.

5 PERFORMANCE MEASUREMENT

5.1 Monitoring and Reporting

The day-to-day technical and financial monitoring of the implementation of this action will be a continuous process, and part of the implementing partner's responsibilities. To this aim, the implementing partner shall establish a permanent internal, technical and financial monitoring system for the action and elaborate regular progress reports (not less than annual) and final reports. Every report shall provide an accurate account of implementation of the action, difficulties encountered, changes introduced.

⁴ www.sanctionsmap.eu. Please note that the sanctions map is an IT tool for identifying the sanctions regimes. The source of the sanctions stems from legal acts published in the Official Journal (OJ). In case of discrepancy between the published legal acts and the updates on the website it is the OJ version that prevails.

All monitoring and reporting shall assess how the action is considering the principle of gender equality, human rights-based approach, and rights of persons with disabilities including inclusion and diversity. Indicators shall be disaggregated at least by sex.

The Commission may undertake additional project monitoring visits both through its own staff and through independent consultants recruited directly by the Commission for independent monitoring reviews (or recruited by the responsible agent contracted by the Commission for implementing such reviews).

5.2 Evaluation

Having regard to the nature of the action, a(n) evaluation(s) will not be carried out for this action or its components.

In case an evaluation is not planned, the Commission may, during implementation, decide to undertake such an evaluation for duly justified reasons either on its own decision or on the initiative of the partner.

The evaluation(s) will assess to what extent the action is taking into account the human rights-based approach and how it contributes to gender equality and women's empowerment. Expertise on human rights and gender equality will be ensured in the evaluation teams.

The evaluation reports shall be shared with the partner country and other key stakeholders following the best practice of evaluation dissemination. The implementing partner and the Commission shall analyse the conclusions and recommendations of the evaluations and, where appropriate, in agreement with the partner country, jointly decide on the follow-up actions to be taken and any adjustments necessary, including, if indicated, the reorientation of the project.

The financing of the evaluation may be covered by another measures constituting a Financing decision.

5.3 Audit and Verifications

Without prejudice to the obligations applicable to contracts concluded for the implementation of this action, the Commission may, on the basis of a risk assessment, contract independent audit or verification assignments for one or several contracts or agreements.

6 STRATEGIC COMMUNICATION AND PUBLIC DIPLOMACY

All entities implementing EU-funded external actions have the contractual obligation to inform the relevant audiences of the Union's support for their work by displaying the EU emblem and a short funding statement as appropriate on all communication materials related to the actions concerned. To that end they must comply with the instructions given in the 2022 guidance document [*Communicating and raising EU visibility: Guidance for external actions*](#) (or any successor document).

This obligation applies equally, regardless of whether the actions concerned are implemented by the Commission, partner countries, service providers, grant beneficiaries or entrusted or delegated entities such as UN agencies, international financial institutions and agencies of EU member states.