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ANNEX 3

to the Commission Implementing Decision on the Annual Action Plan for the European Instrument for International Nuclear Safety Cooperation for 2023

Action Document for Support Measures 2023

ANNUAL PLAN

This document constitutes the annual work programme within the meaning of Article 110(2) of the Financial Regulation, within the meaning of Article 7 of the INSC Regulation.

1 SYNOPSIS

1.1 Action Summary Table

1. Title OPSYS reference Basic Act	Support Measures 2023 ACT-61681 Financed under the European Instrument for International Nuclear Safety Cooperation Regulation
2. Team Europe Initiative	No
3. Zone benefiting from the action	The action shall be carried out worldwide
4. Programming document	European Instrument for International Nuclear Safety Cooperation Multiannual Indicative Programme (2021-2027) of 03.12.2021 (C(2021)8687)
5. Link with relevant MIP(s) objectives / expected results	This action contributes to the financing of the administrative support measures as foreseen in the legal basis and programming document of the Instrument
PRIORITY AREAS AND SECTOR INFORMATION	
6. Priority Area(s), sectors	Support measures
7. Sustainable Development Goals (SDGs)	Main SDG: 16 (Strong Institutions) Other significant SDGs: SDG 11 (Disaster Risk Reduction) and SDG 5 (Gender Equality)
8 a) DAC code(s)	23510 – Nuclear energy electric power plants and nuclear safety – 100%
8 b) Main Delivery Channel	10000 – Public sector institutions

9. Targets	<input type="checkbox"/> Migration <input type="checkbox"/> Climate <input type="checkbox"/> Social inclusion and Human Development <input checked="" type="checkbox"/> Gender <input type="checkbox"/> Biodiversity <input type="checkbox"/> Education <input type="checkbox"/> Human Rights, Democracy and Governance			
10. Markers (from DAC form)	General policy objective @	Not targeted	Significant objective	Principal objective
	Participation development/good governance	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Aid to environment @	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Gender equality and women's and girl's empowerment	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Trade development	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Reproductive, maternal, new-born and child health	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Disaster Risk Reduction @	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Inclusion of persons with Disabilities @	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Nutrition @	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	RIO Convention markers	Not targeted	Significant objective	Principal objective
	Biological diversity @	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Combat desertification @	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Climate change mitigation @	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Climate change adaptation @	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11. Internal markers and Tags:	Policy objectives	Not targeted	Significant objective	Principal objective
	Digitalisation @	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	digital connectivity digital governance digital entrepreneurship digital skills/literacy digital services	YES <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	NO <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	/
	Connectivity @	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	digital connectivity energy transport health	YES <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	NO <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	/

	education and research	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	Migration @ (methodology for tagging under development)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Reduction of Inequalities @ (methodology for marker and tagging under development)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Covid-19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
BUDGET INFORMATION				
12. Amounts concerned	Budget line: 14.060100 Total estimated cost for 2023: EUR 1 500 000 Total amount of EU budget contribution for 2023: EUR 1 500 000			
MANAGEMENT AND IMPLEMENTATION				
13. Type of financing	Direct management through procurement Other actions and expenditure as set out in section 4.3.2			

1.2 Summary of the Action

This action supports the promotion of nuclear safety culture and radiation protection, the safe management of spent nuclear fuel and radioactive waste, and the application of effective and efficient safeguards of nuclear materials in third countries. It includes the provision of technical and scientific expertise, know-how and other forms of technical and scientific support to the Directorate-General for International Partnerships (DG INTPA) of the European Commission for INSC Intervention Cycle Management.

2 RATIONALE

2.1 Context

Regulation EURATOM 2021/948 of 27 May 2021 defines the strategic framework for the implementation of the European Instrument for International Nuclear Safety Cooperation (INSC) 2021-2027. Deriving from the legal basis, the Multiannual Indicative Programme 2021-2027¹ has been adopted on 03 December 2021. The Regulation provides for support measures in its Article 8. It stipulates that ‘Union financing may cover support expenditure for the implementation of the Instrument and for the achievement of its objectives, including administrative support associated with the preparation, follow-up, monitoring, control, audit and evaluation activities necessary for the implementation, as well as expenditure at headquarters for the administrative support needed for the Instrument, and to manage operations financed under this Regulation, including information and communication actions, and corporate information technology systems’.

2.2 Problem Analysis

Short problem analysis: N/A

Identification of main stakeholders and corresponding institutional and/or organisational issues (mandates, potential roles, and capacities) to be covered by the action: Effective and efficient management of INSC interventions requires the use of general support services on a case-by-case basis.

¹ C(2021)8687

3 DESCRIPTION OF THE ACTION

3.1 Objectives and Expected Outputs

The Overall Objective (Impact) of this action is to support the promotion of nuclear safety culture and radiation protection, the safe management of spent nuclear fuel and radioactive waste, and the application of effective and efficient safeguards of nuclear materials in third countries.

The Specific Objective (Outcome) of this action is to

1. facilitate effective and efficient management of INSC interventions

The Output to be delivered by this action contributing to the corresponding Specific Objective (Outcome) is

- 1.1 contributing to Outcome 1: High quality and well timed expert support provided to INSC Intervention Cycle Management

3.2 Indicative Activities

Activities relating to Output 1.1

The support measures will be used for funding INSC activities in areas including:

- Administrative support associated with preparation, follow-up, monitoring, audit and evaluation activities directly necessary for the implementation of the Instrument;
- Studies, meetings, information sharing, awareness-raising, training, preparation and exchange of lessons learnt and best practices, publication and any other administrative or technical assistance expenditure necessary for the programming and management of INSC actions;
- Missions by external experts in support of INSC Intervention Cycle Management (for evaluations, technical implementation support, etc.);
- Technical support provided by the Joint Research Center (JRC) and the Joint Support Office in Ukraine;
- Expenditure at EU headquarters for the administrative support needed for the Instrument, and to manage operations financed under this Regulation and corporate information technology systems.

3.3 Mainstreaming

Environmental Protection & Climate Change

INSC interventions have Aid to Environment as principal objective (radioactive waste), significant objective (nuclear safety) or it is not targeted (nuclear safeguards). The support measures align accordingly.

Gender equality and empowerment of women and girls

As per the OECD Gender DAC codes identified in section 1.1, this action is labelled as G1. This implies that the support measures may provide support for the assessment of gender issues wherever relevant. The European Commission will aim for a balanced representation of women and men among the experts. Studies and advisory services will fully integrate gender aspects.

Disaster Risk Reduction

All INSC interventions have Disaster Risk Reduction as significant objective. The support measures align accordingly.

3.4 Risks and Lessons Learnt

Category	Risks	Likelihood (High/ Medium/ Low)	Impact (High/ Medium/ Low)	Mitigating measures

People and the organisation	Lack of cooperation from project (implementing) partners, such as state authorities and implementing agencies, resulting in delays	L	L	Close monitoring of the relevant projects and remedial steps taken as early as possible during project preparation or project implementation
Planning, processes and systems	Unforeseen and unexpected (external) developments or unforeseen delays that would result in late delivery of results or even render the results of some projects eventually irrelevant	L	L	Close monitoring of the relevant projects and remedial steps taken as early as possible during project preparation or project implementation

Lessons Learnt:

Independent technical expertise has been instrumental in ensuring the quality of the implemented activities in the area of nuclear safety, spent fuel and radioactive waste management, and nuclear safeguards. Continued support by JRC has ensured a high quality technical component of INSC interventions.

3.5 The Intervention Logic

The underlying intervention logic for this action is that if the European Commission (DG INTPA) continues to have at its disposal high quality and well-timed JRC and external expert support, and if the partners take on-board EU expert advice, then this will facilitate the effective and efficient implementation of INSC interventions.

3.6 Logical Framework Matrix

This indicative logframe constitutes the basis for the monitoring, reporting and evaluation of the intervention. On the basis of this logframe matrix, a more detailed logframe (or several) may be developed at contracting stage. In case baselines and targets are not available for the action, they should be informed for each indicator at signature of the contract(s) linked to this AD, or in the first progress report at the latest. New columns may be added to set intermediary targets (milestones) for the Output and Outcome indicators whenever it is relevant.

- At inception, the first progress report should include the complete logframe (e.g. including baselines/targets).
- Progress reports should provide an updated logframe with current values for each indicator.
- The final report should enclose the logframe with baseline and final values for each indicator.

The indicative logical framework matrix may evolve during the lifetime of the action depending on the different implementation modalities of this action. The activities, the expected Outputs and related indicators, targets and baselines included in the logframe matrix may be updated during the implementation of the action, no amendment being required to the Financing Decision.

PROJECT MODALITY (3 levels of results / indicators / baselines / targets / sources of data / Assumptions - no activities)

Results	Results chain (@): Main expected results (maximum 10)	Indicators (@): (at least one indicator per expected result)	Baselines (values and years)	Targets (values and years)	Sources of data	Assumptions
Impact	To support the promotion of nuclear safety culture and radiation protection, the safe management of spent nuclear fuel and radioactive waste, and the application of effective and efficient safeguards of nuclear materials in third countries	1. % of primary INSC interventions with red traffic light for implementation progress	1. 0% (2022)	1. <5% (all years)	EU OPSYS	<i>Not applicable</i>
Outcome 1	1 Effective and efficient management of INSC interventions facilitated	1.1. Scores of Results Oriented Monitoring (ROM) reviews of INSC interventions	1.1. >90% green scores (2022)	1.1. >90% green scores (all years)	ROM reports	Partners implement ROM recommendations Satisfactory performance of the contractors of INSC interventions
Output 1 relating to Outcome 1	1.1 High quality and well-timed expert support provided to INSC Intervention Cycle Management	1.1.1. Timeliness of expert support 1.1.2. Level of quality of expert support	1.1.1. 100% on time (2022) 1.1.2. >95% high quality (2022)	1.1.1. >95% on time (all years) 1.1.2 >95% high quality (all years)	Project reports	Partners take on-board EU expert advice Continued availability of JRC experts

4 IMPLEMENTATION ARRANGEMENTS

4.1 Financing Agreement

In order to implement this action, it is not envisaged to conclude a financing agreement with partner countries.

4.2 Indicative Implementation Period

The indicative operational implementation period of this action, during which the activities described in section 3 will be carried out and the corresponding contracts and agreements implemented, is 84 months from the date of adoption by the Commission of this Financing Decision.

Extensions of the implementation period may be agreed by the Commission's responsible authorising officer by amending this Financing Decision and the relevant contracts and agreements.

4.3 Implementation modalities

4.3.1 Direct Management (Procurement)

Subject in generic terms, if possible	Type (works, supplies, services)	Indicative number of contracts	Indicative trimester of launch of the procedure
Technical expertise	Services	3	Q1/2024

4.3.2 Other actions or expenditure

Part of this action may be implemented through a Service Level Agreement with JRC in order to mobilise its expertise for the achievement of the output of this action.

4.4 Scope of geographical eligibility for procurement and grants

The geographical eligibility in terms of place of establishment for participating in procurement and grant award procedures and in terms of origin of supplies purchased as established in the basic act and set out in the relevant contractual documents shall apply, subject to the following provisions.

The Commission's authorising officer responsible may extend the geographical eligibility on the basis of urgency or of unavailability of services in the markets of the countries or territories concerned, or in other duly substantiated cases where application of the eligibility rules would make the realisation of this action impossible or exceedingly difficult (Article 11(8) INSC Council Regulation (Euratom) 2021/948 of 27 May 2021).

4.5 Indicative Budget

Indicative Budget components	EU contribution (amount in EUR)
Implementation modalities – cf. section 4.3	
SO 1 To facilitate effective and efficient management of INSC interventions	1 430 000
Procurement (direct management) – cf. section 4.3.1	930 000
Implementation through Service Level Agreement with JRC – cf. section 4.3.2	500 000
Procurement – total envelope under section 4.3.1	930 000
Other actions or expenditure – total envelope under section 4.3.2	500 000
Evaluation – cf. section 5.2	70 000
Audit – cf. section 5.3	
Totals	1 500 000

4.6 Organisational Set-up and Responsibilities

The European Commission (DG INTPA) decides on the use of support measures and ensures, where relevant, close consultation with the final beneficiaries of the INSC interventions and the implementing partners.

As part of its prerogative of budget implementation and to safeguard the financial interests of the Union, the Commission may participate in the above governance structures set up for governing the implementation of the action and may sign or enter into joint declarations or statements, for the purpose of enhancing the visibility of the EU and its contribution to this action and ensuring effective coordination.

5 PERFORMANCE MEASUREMENT

5.1 Monitoring and Reporting

The day-to-day technical and financial monitoring of the implementation of this action will be a continuous process, and part of the implementing partner's responsibilities. To this aim, the implementing partner shall establish a permanent internal, technical and financial monitoring system for the action and elaborate regular progress reports (not less than annual) and final reports. Every report shall provide an accurate account of implementation of the action, difficulties encountered, changes introduced, as well as the degree of achievement of its results (Outputs and direct Outcomes) as measured by corresponding indicators, using as reference the logframe matrix.

The Commission may undertake additional project monitoring visits both through its own staff and through independent consultants recruited directly by the Commission for independent monitoring reviews (or recruited by the responsible agent contracted by the Commission for implementing such reviews).

Roles and responsibilities for data collection, analysis and monitoring will be placed with the implementing partner(s).

5.2 Evaluation

Having regard to the nature of the action, a final evaluation will not be carried out for this action or its components. However, the Commission may, during implementation, decide to undertake evaluations of other INSC actions for duly justified reasons, either on its own decision or on the initiative of the partner.

The Commission shall inform the implementing partner at least 3 months in advance of the dates envisaged for the evaluation missions. The implementing partner shall collaborate efficiently and effectively with the evaluation experts, and inter alia provide them with all necessary information and documentation, as well as access to the project premises and activities.

The evaluation reports may be shared with the partners and other key stakeholders following the best practice of evaluation dissemination². The implementing partner and the Commission shall analyse the conclusions and recommendations of the evaluations and, where appropriate, apply the necessary adjustments.

5.3 Audit and Verifications

Without prejudice to the obligations applicable to contracts concluded for the implementation of this action, the Commission may, on the basis of a risk assessment, contract independent audit or verification assignments for one or several contracts or agreements.

6 STRATEGIC COMMUNICATION AND PUBLIC DIPLOMACY

The 2021-2027 programming cycle will adopt a new approach to pooling, programming and deploying strategic communication and public diplomacy resources.

² See best [practice of evaluation dissemination](#)

In line with the 2022 “[Communicating and Raising EU Visibility: Guidance for External Actions](#)”, it will remain a contractual obligation for all entities implementing EU-funded external actions to inform the relevant audiences of the Union’s support for their work by displaying the EU emblem and a short funding statement as appropriate on all communication materials related to the actions concerned. This obligation will continue to apply equally, regardless of whether the actions concerned are implemented by the Commission, partner countries, service providers, grant beneficiaries or entrusted or delegated entities such as UN agencies, international financial institutions and agencies of EU member states.

However, action documents for specific sector programmes are in principle no longer required to include a provision for communication and visibility actions promoting the programmes concerned. These resources will instead be consolidated in Cooperation Facilities established by support measure action documents, allowing Delegations to plan and execute multiannual strategic communication and public diplomacy actions with sufficient critical mass to be effective on a national scale.

Appendix REPORTING IN OPSYS

A Primary Intervention³ (project/programme) is a coherent set of activities and results structured in a logical framework aiming at delivering development change or progress. Identifying the level of the primary intervention will allow for:

- Articulating Actions or Contracts according to an expected chain of results and therefore allowing them to ensure efficient monitoring and reporting of performance;
- Differentiating these Actions or Contracts from those that do not produce direct reportable development results, defined as support entities (i.e. audits, evaluations);
- Having a complete and exhaustive mapping of all results-bearing Actions and Contracts.

Primary Interventions are identified during the design of each action by the responsible service (Delegation or Headquarters operational Unit).

The level of the Primary Intervention chosen can be modified (directly in OPSYS) and the modification does not constitute an amendment of the action document.

The intervention level for the present Action identifies as:

Action level (i.e. Budget Support, blending)		
<input type="checkbox"/>	Single action	
Group of actions level (i.e. top-up cases, different phases of a single programme)		
<input type="checkbox"/>	Group of actions	
Contract level		
<input type="checkbox"/>	Single Contract 1	
<input type="checkbox"/>	Single Contract 2	
	(...)	
Group of contracts level (i.e. series of programme estimates, cases in which an Action includes for example four contracts and two of them, a technical assistance contract and a contribution agreement, aim at the same objectives and complement each other)		
<input checked="" type="checkbox"/>	Group of contracts 1	Service contracts for technical expertise Service Level Agreement with JRC Service contracts for evaluations and audits

³ For the purpose of consistency between terms in OPSYS, DG INTPA, DG NEAR and FPI have harmonised 5 key terms, including 'Action' and 'Intervention' where an 'Action' is the content (or part of the content) of a Commission financing Decision and 'Intervention' is a coherent set of activities and results which constitutes an effective level for the operational follow-up by the EC of its operations on the ground. See more on the [concept of intervention](#).