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**THIS ACTION IS FUNDED BY THE EUROPEAN UNION**

## **ANNEX 9**

to the Commission Implementing Decision on the financing of the multiannual action plan for Global Challenges (Prosperity) for 2023-2025

### **Action Document for Support Measures**

#### **MULTIANNUAL PLAN**

This document constitutes the multiannual work programme in the sense of Article 110(2) of the Financial Regulation, and action measures in the sense of Article 23(1) of NDICI-Global Europe Regulation.

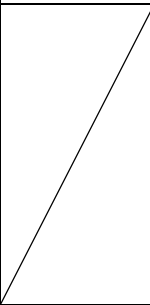
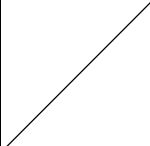
## **1 SYNOPSIS**

### **1.1 Action Summary Table**

|                                                                                       |                                                                                                                                                                                |
|---------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>1. Title</b><br><b>CRIS/OPSYS</b><br><b>business reference</b><br><b>Basic Act</b> | <b>Support Measures</b><br>OPSYS reference: ACT-62117<br>Financed under the Neighbourhood, Development and International Cooperation Instrument ( <u>NDICI-Global Europe</u> ) |
| <b>2. Team Europe Initiative (TEI)</b>                                                | <input checked="" type="checkbox"/> Not applicable                                                                                                                             |
| <b>3. Zone benefiting from the action</b>                                             | The action shall be carried out in all countries eligible for support under the thematic programme                                                                             |
| <b>4. Programming document</b>                                                        | NDICI-Global Europe Global Challenges Multiannual Indicative Programme (MIP) 2021 - 2027 <sup>1</sup>                                                                          |
| <b>5. Link with relevant MIP(s) objectives / expected results</b>                     | This action will contribute particularly to the Prosperity priority of the MIP on Global Challenges                                                                            |
| <b>PRIORITY AREAS AND SECTOR INFORMATION</b>                                          |                                                                                                                                                                                |
| <b>6. Priority Area(s), sectors</b>                                                   | Not applicable                                                                                                                                                                 |
| <b>7. Sustainable Development Goals (SDGs)</b>                                        | Main SDG: SDG 1 (End Poverty)<br>Other significant SDGs: 2, 3, 5, 6, 8, 12, 13, 14 and 15 <sup>2</sup>                                                                         |
| <b>8 a) DAC code(s)</b>                                                               | 99810 Sectors not specified                                                                                                                                                    |
| <b>8 b) Main Delivery Channel</b>                                                     | N/A                                                                                                                                                                            |

<sup>1</sup> C(2021)9157

<sup>2</sup> SDG 2 (Zero Hunger), 3 (Good Health and Well-Being), 5 (Gender Equality), 6 (Clean Water and Sanitation), 8 (Decent Work and Economic Growth), 12 (Responsible Consumption and Production), 13 (Climate Action), 14 (Life Below Water) and 15 (Life on Land).

|                                                                                                                       |                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                 |                                                                                                                                                                                                       |                                                                                       |
|-----------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| <b>9. Targets</b>                                                                                                     | <input type="checkbox"/> Migration<br><input type="checkbox"/> Climate<br><input type="checkbox"/> Social inclusion and Human Development<br><input type="checkbox"/> Gender<br><input type="checkbox"/> Biodiversity<br><input type="checkbox"/> Education<br><input type="checkbox"/> Human Rights, Democracy and Governance |                                                                                                                                                 |                                                                                                                                                                                                       |                                                                                       |
| <b>10. Markers</b><br><b>(from DAC form)</b>                                                                          | <b>General policy objective</b>                                                                                                                                                                                                                                                                                                | <b>Not targeted</b>                                                                                                                             | <b>Significant objective</b>                                                                                                                                                                          | <b>Principal objective</b>                                                            |
|                                                                                                                       | Participation development/good governance                                                                                                                                                                                                                                                                                      | <input checked="" type="checkbox"/>                                                                                                             | <input type="checkbox"/>                                                                                                                                                                              | <input type="checkbox"/>                                                              |
|                                                                                                                       | Aid to environment                                                                                                                                                                                                                                                                                                             | <input checked="" type="checkbox"/>                                                                                                             | <input type="checkbox"/>                                                                                                                                                                              | <input type="checkbox"/>                                                              |
|                                                                                                                       | Gender equality and women's and girl's empowerment                                                                                                                                                                                                                                                                             | <input checked="" type="checkbox"/>                                                                                                             | <input type="checkbox"/>                                                                                                                                                                              | <input type="checkbox"/>                                                              |
|                                                                                                                       |                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                 |                                                                                                                                                                                                       |                                                                                       |
|                                                                                                                       | Reproductive, maternal, new-born and child health                                                                                                                                                                                                                                                                              | <input checked="" type="checkbox"/>                                                                                                             | <input type="checkbox"/>                                                                                                                                                                              | <input type="checkbox"/>                                                              |
|                                                                                                                       | Disaster Risk Reduction                                                                                                                                                                                                                                                                                                        | <input checked="" type="checkbox"/>                                                                                                             | <input type="checkbox"/>                                                                                                                                                                              | <input type="checkbox"/>                                                              |
|                                                                                                                       | Inclusion of persons with Disabilities                                                                                                                                                                                                                                                                                         | <input checked="" type="checkbox"/>                                                                                                             | <input type="checkbox"/>                                                                                                                                                                              | <input type="checkbox"/>                                                              |
|                                                                                                                       | Nutrition                                                                                                                                                                                                                                                                                                                      | <input checked="" type="checkbox"/>                                                                                                             | <input type="checkbox"/>                                                                                                                                                                              | <input type="checkbox"/>                                                              |
|                                                                                                                       | <b>RIO Convention markers</b>                                                                                                                                                                                                                                                                                                  | <b>Not targeted</b>                                                                                                                             | <b>Significant objective</b>                                                                                                                                                                          | <b>Principal objective</b>                                                            |
|                                                                                                                       | Biological diversity                                                                                                                                                                                                                                                                                                           | <input checked="" type="checkbox"/>                                                                                                             | <input type="checkbox"/>                                                                                                                                                                              | <input type="checkbox"/>                                                              |
|                                                                                                                       | Combat desertification                                                                                                                                                                                                                                                                                                         | <input checked="" type="checkbox"/>                                                                                                             | <input type="checkbox"/>                                                                                                                                                                              | <input type="checkbox"/>                                                              |
|                                                                                                                       | Climate change mitigation                                                                                                                                                                                                                                                                                                      | <input checked="" type="checkbox"/>                                                                                                             | <input type="checkbox"/>                                                                                                                                                                              | <input type="checkbox"/>                                                              |
|                                                                                                                       | Climate change adaptation                                                                                                                                                                                                                                                                                                      | <input checked="" type="checkbox"/>                                                                                                             | <input type="checkbox"/>                                                                                                                                                                              | <input type="checkbox"/>                                                              |
|                                                                                                                       | <b>11. Internal markers and Tags:</b>                                                                                                                                                                                                                                                                                          | <b>Policy objectives</b>                                                                                                                        | <b>Not targeted</b>                                                                                                                                                                                   | <b>Significant objective</b>                                                          |
| Digitalisation                                                                                                        |                                                                                                                                                                                                                                                                                                                                | <input checked="" type="checkbox"/>                                                                                                             | <input type="checkbox"/>                                                                                                                                                                              | <input type="checkbox"/>                                                              |
| digital connectivity<br>digital governance<br>digital entrepreneurship<br>digital skills/literacy<br>digital services |                                                                                                                                                                                                                                                                                                                                | YES<br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/> | NO<br><input checked="" type="checkbox"/><br><input checked="" type="checkbox"/><br><input checked="" type="checkbox"/><br><input checked="" type="checkbox"/><br><input checked="" type="checkbox"/> |  |
| Connectivity                                                                                                          |                                                                                                                                                                                                                                                                                                                                | <input checked="" type="checkbox"/>                                                                                                             | <input checked="" type="checkbox"/>                                                                                                                                                                   |                                                                                       |
| transport<br>people2people                                                                                            |                                                                                                                                                                                                                                                                                                                                | YES<br><input type="checkbox"/><br><input type="checkbox"/>                                                                                     | NO<br><input checked="" type="checkbox"/><br><input checked="" type="checkbox"/>                                                                                                                      |  |

|                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                          |                                     |                          |
|--------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|-------------------------------------|--------------------------|
|                                      | energy                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | <input type="checkbox"/> | <input checked="" type="checkbox"/> |                          |
|                                      | digital connectivity                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | <input type="checkbox"/> | <input checked="" type="checkbox"/> |                          |
|                                      | Migration                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|                                      | Reduction of Inequalities                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|                                      | Covid-19                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| <b>BUDGET INFORMATION</b>            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                          |                                     |                          |
| <b>12. Amounts concerned</b>         | <p>Budget line 14.020242</p> <p>Total estimated cost for 2023: EUR 500 000</p> <p>Total estimated cost for 2024: EUR 500 000</p> <p>Total amount of EU budget contribution for 2023 and 2024 EUR 1 000 000</p> <p>The contribution is for an amount of EUR 500 000 from the general budget of the European Union for 2023 and for an amount of EUR 500 000 from the general budget of the European Union for 2024, subject to the availability of the appropriations for the respective financial years following the adoption of the relevant annual budget, or as provided for in the system of provisional twelfths.</p> |                          |                                     |                          |
| <b>MANAGEMENT AND IMPLEMENTATION</b> |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                          |                                     |                          |
| <b>13. Type of financing</b>         | Direct management through: Procurement                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                          |                                     |                          |

## 1.2 Summary of the Action

This proposed Action will provide the necessary support for the sound implementation of the Global Challenges (Prosperity) Thematic programme, the achievement of its expected objectives and results, and the measurement analysis and reporting on its impact. This Action will cover expenditures associated with the preparation, follow up, monitoring, evaluation, and audit activities related to the implementation of relevant global Action Programmes.

Such measures (audits, evaluations, identifications, studies, meetings, information sessions, publications, training activities and any other administrative or technical assistance expenditure, including interests for late payments, etc.) contribute to the sound management of the programme, to the achievement of its expected results and objectives and to the measurement, analysis and reporting on the impact.

The objectives of this action will mainly be achieved through service contracts directly managed by the competent European Commission services. This is justified by the nature of the activities (trainings, communications, studies, visibility actions, events, evaluations or audits).

## 2 RATIONALE

### 2.1 Context

The Support Measures of the Prosperity Priority area of the MIP Global Challenges are designed to cover expenditures associated with the preparation, follow up, monitoring, evaluation and audit activities related to the implementation of the NDICI Global Challenges Prosperity MIP and to the achievement of its objectives. Such measures (audits, evaluations, identifications, studies, meetings, information sessions, training activities and any other administrative or technical assistance expenditure etc.) contribute to the sound management of the programme, to the achievement of its expected results and objectives and to the measurement, analysis and

reporting on the impact.

This ‘prosperity’ priority of the MIP Global Challenges is also closely related with the ‘planet’, ‘people’ and ‘partnership’ components of the Sustainable Development Agenda. It requires a holistic approach, reliance on evidence-based policy development and interaction with a range of stakeholders, including civil society, the private sector, research organisations, and other stakeholders. Moreover, international organisations play an important role in facilitating the sustainability transition, which provides opportunities for the EU foster inclusive international governance and multilateralism.

## 2.2 Problem Analysis

Progress towards poverty eradication has been seriously hampered by the effects of COVID19, climate change and the soaring (food and energy) prices triggered by Russia’s war of aggression against Ukraine. Poverty eradication, integrating the economic, social and environmental dimensions of sustainable development, is a pre-condition for sustainable development, as recognised by the 2030 Agenda. The Global Challenges programme (Prosperity) supports global initiatives that facilitate and catalyse poverty eradication. This in return requires sound programme management to achieve expected results and objectives and measurement, analysis and reporting on the impact. All relevant actions falling under the framework of the MIP Global Challenges, Prosperity component, are a potential area for support through these measures. They may also support related actions financed from global EU funds, committed during the previous MFF.

### Main stakeholders

This action provides support to the European Commission services at Headquarters and in EU Delegations, for the preparation, follow up, monitoring, evaluation and audit activities related to the implementation of the MIP Global Challenges programme for Prosperity, and related actions financed from global EU funds, committed during the previous MFF/

The EU will rely on methodologically sound and policy-relevant empirical research and develop the evidence base for its policies by supporting the evaluation of the effectiveness of its programmes.

## 3 DESCRIPTION OF THE ACTION

### 3.1 Objectives and Expected Outputs

The Overall Objective (Impact) of this action is the effective implementation of the MIP Global Challenges Prosperity and related global programmes by providing relevant support services.

The Specific Objectives (Outcomes) of this action are to: Provide specific analyses, seminars, workshops and training courses, and possible cooperation strategies.

2. Reinforce auditing and evaluation activities.
3. Provide support in the design of projects and programmes which requires a more in-depth analysis, including on cross-cutting issues such as gender.
4. Provide support in the overall monitoring and evaluation of the programme, which will help capitalisation of lessons learnt for further implementation of the programme in the coming years and will strengthen the global knowledge on gender equality and the human rights-based approach and its dissemination and use by partners.

The Outputs to be delivered by this action are contributing to the corresponding Specific Objectives (Outcomes) are: 1.1 Studies and advisory services, trainings, seminars, conferences, workshops, meetings and production of related publications.

#### 2.1 Risk-based audits and evaluations.

- 3.1 Technical support for the Identification and Formulation phases of new actions, including gender analysis and integration of the human rights-based approach.
- 4.1 Technical support for the overall monitoring, evaluation, and impact assessment of the programme and related cross-cutting issues such as gender.

## 3.2 Indicative Activities

### *Activities related to Output 1.1*

Studies and advisory services, trainings, seminars, conferences, workshops, meetings and production of related publications. The main objective of this type of activities is to support relevant activities and practitioners involved in global 'Prosperity' with specific analyses, with seminars, workshops and training courses, possible cooperation strategies, to ensure delivery of the results of the programme while increasing efficiency and effectiveness.

### *Activities related to Output 2.1:*

Risk-based audits and evaluations. The objective is to reinforce auditing and evaluation activities in order to ensure the proper and sound management of EU funds as well as the assessment of the impact of relevant actions.

### *Activities related to Output 3.1:*

Technical support for the Identification and Formulation phases of new actions. The objective is to provide support in the design of projects and programmes which requires a more in-depth analysis, including on cross-cutting issues such as gender.

### *Activities related to Output 4.1:*

Technical support for the overall monitoring, evaluation, and impact assessment of the programme. The objective is to provide support in the overall monitoring and evaluation of the programme, which will help capitalisation of lessons learnt for further implementation of the programme in the coming years.

## 3.3 Risks and Lessons Learnt

| Category                           | Risks                                                          | Likelihood<br>(High/<br>Medium/<br>Low) | Impact<br>(High/<br>Medium/<br>Low) | Mitigating measures                                                   |
|------------------------------------|----------------------------------------------------------------|-----------------------------------------|-------------------------------------|-----------------------------------------------------------------------|
| 1. External environment            | Actions requiring more inclusive preparations than anticipated | L                                       | M                                   | Conduct additional studies, meetings and events                       |
| 2. Planning, processes and systems | Insufficient budget in relation to demand                      | L                                       | M                                   | Keep close overview on spending situation; anticipate needs regularly |

### **Lessons Learnt:**

An accurate, structured and inclusive identification and formulation of projects or programmes is the key to a successfully implemented action. However, as project implementation unfolds, quite often complementary needs arise for specific evaluation, studies, events, trainings and other capacity-building activities. It is essential that lessons learnt from both closed and on-going projects are taken into account when identifying new actions in similar or complementary areas.

Support Measures constitute a flexible and necessary tool to facilitate achieving positive development outcomes. They shall always aim at meaningfully and coherently accompanying EU cooperation objectives, to further enhance the impact and relevance of EU support.

### 3.4 The Intervention Logic

The Commission may adopt support measures as part of action plans under Article 23(1) NDICI. Support measures may cover inter alia:

- (a) studies, meetings, information, awareness-raising, training, preparation and exchange of lessons learnt and best practices, publication activities and any other administrative or technical assistance expenditure necessary for the programming and management of actions, including remunerated external experts;
- (b) research and innovation activities and studies on relevant issues and the dissemination thereof, including on cross-cutting issues such as gender and human rights.

### 3.5 Logical Framework Matrix

Given the nature of this Action a Logical Framework Matrix is not required at Action level.

## 4 IMPLEMENTATION ARRANGEMENTS

### 4.1 Financing Agreement

In order to implement this action, it is not envisaged to conclude a financing agreement with the partner country.

### 4.2 Indicative Implementation Period

The indicative operational implementation period of this action, during which the activities described in section 3 will be carried out and the corresponding contracts and agreements implemented, is 48 months from the date of adoption by the Commission of this Financing Decision.

Extensions of the implementation period may be agreed by the Commission's responsible authorising officer by amending this Financing Decision and the relevant contracts and agreements.

### 4.3 Implementation Modalities

The Commission will ensure that the EU appropriate rules and procedures for providing financing to third parties are respected, including review procedures, where appropriate, and compliance of the action with EU restrictive measures<sup>3</sup>.

#### 4.3.1 Direct Management (Procurement)

The full set of objectives/results listed in section 3 will be supported through the procurement.

### 4.4 Scope of geographical eligibility for procurement and grants

The geographical eligibility in terms of place of establishment for participating in procurement and grant award procedures and in terms of origin of supplies purchased as established in the basic act and set out in the relevant contractual documents shall apply.

The Commission's authorising officer responsible may extend the geographical eligibility on the basis of urgency or of unavailability of services in the markets of the countries or territories concerned, or in other duly substantiated cases where application of the eligibility rules would make the realization of this action impossible or exceedingly difficult (Article 28(10) NDICI-Global Europe Regulation).

<sup>3</sup> [www.sanctionsmap.eu](http://www.sanctionsmap.eu). Please note that the sanctions map is an IT tool for identifying the sanctions regimes. The source of the sanctions stems from legal acts published in the Official Journal (OJ). In case of discrepancy between the published legal acts and the updates on the website it is the OJ version that prevails.

#### 4.5 Indicative Budget - 2023

| <b>Indicative Budget components</b>          | <b>EU contribution<br/>(amount in EUR)<br/>2023-2024</b> |
|----------------------------------------------|----------------------------------------------------------|
| Implementation modalities – cf. Section 4.3. | -                                                        |
| Objective: Outputs Section 3.1 composed of   | -                                                        |
| Procurement (direct management)              | 1 000 000                                                |
| <b>Evaluation</b><br><b>Audit</b>            | may be covered by another Decision                       |
| <b>Totals</b>                                | 1 000 000                                                |

#### 4.6 Organisational Set-up and Responsibilities

The contracting authority for the action shall be the European Commission. The overall responsibility for the implementation of the action lies with the relevant services of the European Commission at the headquarters level.

### 5 PERFORMANCE MEASUREMENT

#### 5.1 Monitoring and Reporting

The day-to-day technical and financial monitoring of the implementation of this action will be a continuous process by the Commission.

The Commission may undertake additional project monitoring visits both through its own staff and through independent consultants recruited directly by the Commission for independent monitoring reviews (or recruited by the responsible agent contracted by the Commission for implementing such reviews).

#### 5.2 Evaluation

Having regard to the nature of the action, a(n) evaluation(s) will not be carried out for this action or its components. The Commission may, during implementation, decide to undertake such an evaluation for duly justified reasons either on its own decision or on the initiative of the partner. The financing of the evaluation may be covered by another measures constituting a Financing decision.

#### 5.3 Audit and Verifications

Without prejudice to the obligations applicable to contracts concluded for the implementation of this action, the Commission may, on the basis of a risk assessment, contract independent audit or verification assignments for one or several contracts or agreements.

### 6 STRATEGIC COMMUNICATION AND PUBLIC DIPLOMACY

The 2021-2027 programming cycle will adopt a new approach to pooling, programming and deploying strategic communication and public diplomacy resources.

In line with the 2022 [‘Communicating and Raising EU Visibility: Guidance for External Actions’](#), it will remain a contractual obligation for all entities implementing EU-funded external actions to inform the relevant audiences of the Union’s support for their work by displaying the EU emblem and a short funding statement as appropriate on all communication materials related to the actions concerned. This obligation will continue to apply equally, regardless of whether the actions concerned are implemented by the Commission, partner countries, service providers, grant beneficiaries or entrusted or delegated entities such as UN agencies, international financial

institutions and agencies of EU member states.

However, action documents for specific sector programmes are in principle no longer required to include a provision for communication and visibility actions promoting the programmes concerned. These resources will instead be consolidated in Cooperation Facilities established by support measure action documents, allowing Delegations to plan and execute multiannual strategic communication and public diplomacy actions with sufficient critical mass to be effective on a national scale.