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ANNEX III

to the Commission Implementing Decision on the annual action plan for the European Instrument for International Nuclear Safety Cooperation for 2021

Action Document for Global allocation including support measures 2021 for the INSC management

ANNUAL PROGRAMME 2021

This document constitutes the annual work programme within the meaning of Article 110(2) of Regulation (EU, Euratom) 2018/1046, and action plans within the meaning of Article 7 of Regulation (Euratom) 2021/948.

1 SYNOPSIS

Action Summary Table

1. Title OPSYS business reference Basic Act	Action document for support measures to implement the European Instrument for International Nuclear Safety Cooperation (INSC) OSPYS/CRIS INSC/2021/43-279 Financed under Council Regulation (Euratom) 2021/948 of 27 May 2021 establishing a European Instrument for International Nuclear Safety Cooperation complementing the Neighbourhood, Development and International Cooperation Instrument – Global Europe on the basis of the Treaty establishing the European Atomic Energy Community, and repealing Regulation (Euratom) No 237/2014 ¹
2. Team Europe Initiative	No
3. Zone benefiting from the action	The action shall be carried out worldwide
4. Programming document	European Instrument for International Nuclear Safety Cooperation Multiannual Indicative Programme (2021-2027) of 03.12.2021 (C(2021) 8687)
5. Link with relevant MIP(s) objectives/ expected results	This action contributes to the financing of the administrative support measures as foreseen in the legal basis and programming document
PRIORITY AREAS AND SECTOR INFORMATION	
56 Priority Area(s), sectors	Nuclear Safety
7 Sustainable Development Goals (SDGs)	Main SDG: 16 (strong institutions) Other significant: SDG 11 (Disaster Risk Reduction) and SDG 5 (Gender Equality).
8 a) DAC code(s)	23510
8 b) Main Delivery	1000 – Public institutions

¹ OJ L 209, 14.6.2021, p. 79.

Channel @				
9. Targets	<input type="checkbox"/> Migration <input type="checkbox"/> Climate <input type="checkbox"/> Social inclusion and Human Development <input type="checkbox"/> Gender <input type="checkbox"/> Biodiversity <input type="checkbox"/> Education <input type="checkbox"/> Human Rights, Democracy and Governance ²			
10. Markers ³ (from DAC form)	General policy objective@	Not targeted	Significant objective	Principal objective
	Participation development/good governance	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Aid to environment @	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Gender equality and women's and girl's empowerment	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Trade development	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Reproductive, maternal, new-born and child health	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Disaster Risk Reduction @	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	Nutrition @	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	RIO Convention markers	Not targeted	Significant objective	Principal objective
	Biological diversity @	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Combat desertification @	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Climate change mitigation @	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Climate change adaptation @	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11. Internal markers⁴ and Tags	Policy objectives	Not targeted	Significant objective	Principal objective
	Digitalisation Tags: digital connectivity digital governance digital entrepreneurship job creation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

² Thematic target for geographic programmes (at least 15%) in delegated act.

³ For guidance, see <https://www.oecd.org/development/financing-sustainable-development/development-finance-standards/> (go to “Data collection and resources for reporters”, select Addendum 2, annexes 18 (policy) and 19 (Rio) of the reporting directive).

If an action is marked in the DAC form as contributing to one of the general policy objectives or to RIO principles as a principal objective or a significant objective, then this should be reflected in the logframe matrix (in the results chain and/or indicators).

⁴ The internal markers have been created to report on the implementation of the Commission's own policy priorities in areas where no DAC reporting tool is available. For the sake of consistency and comparability, the methodology is equivalent to the DAC markers, with three possible positions (main target, significant target, not targeted)

	digital skills/literacy digital services			
	Migration @	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Reduction of Inequalities (methodology for marker and tagging under development)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Covid-19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
BUDGET INFORMATION				
12. Amounts concerned	Budget line: 14 06 0100 Total estimated cost: EUR 1,815,200 Total amount of EU budget contribution EUR 1,815,200			
MANAGEMENT AND IMPLEMENTATION				
13. Type of financing ⁵	Direct management through procurement			

Summary of the Action

This Action provides support to the sound management of the European Instrument for International Nuclear Safety Cooperation (INSC) worldwide, the achievement of its expected results and objectives and the measurement, analysis and reporting on its impact.
This action will be implemented in a COVID-19 context and adapted as necessary for a successful completion.

2 RATIONALE

2.1. General Context

Regulation EURATOM 2021/948 of 27 May 2021 defines the strategic framework for the implementation of the European Instrument for Nuclear Safety Cooperation (INSC) 2021 – 2027. Deriving from the legal basis the Multi-annual Indicative Programme 2021-2027⁶ has been adopted on 03 December 2021. The Regulation provides for support measures in its Article 8. It stipulates that the "Union financing may cover support expenditure for the implementation of the Instrument and for the achievement of its objectives, including administrative support associated with the preparation, follow-up, monitoring, control, audit and evaluation activities necessary for the implementation, as well as expenditure at headquarters for the administrative support needed for the Instrument, and to manage operations financed under this Regulation, including information and communication actions, and corporate information technology systems".

2.2. Problem Analysis

Short problem analysis:

N/A

⁵ Article 10 INSC

⁶ C(2021)8687

Identification of main stakeholders and corresponding institutional and/or organisational issues (mandates, potential roles, and capacities) to be covered by the action:

Effective management of nuclear safety projects requires the use of general support services on a case-by-case basis.

N/A

3 DESCRIPTION OF THE ACTION

3.1. Objectives and Expected Outputs

The overall objective is to contribute to the sound management of the programme, to the achievement of its expected results and objectives and to the measurement, analysis and reporting on its impact.

The specific objectives

The Outputs to be delivered by this action contributing to the corresponding Specific Objectives (Outcomes) are

- 1.1 contributing to the good governance of the INSC;
- 2.1 contributing to the continued effective nuclear safety projects in Beneficiary countries

3.2. Indicative Activities

The support measures will be used as a framework for funding activities under the European Instrument for International Nuclear Safety Cooperation (INSC) in areas including:

- administrative support associated with the preparation, follow-up, monitoring, audit and evaluation activities directly necessary for the implementation of the Instrument;
- studies, meetings, information, awareness-raising, training, preparation, and exchange of lessons learnt and best practices, publication activities and any other administrative or technical assistance expenditure necessary for the programming and management of actions;
- expenditure related to the provision of information and communication actions, including the development of communication strategies and corporate communication materials;
- Missions to be conducted in the field of nuclear safety contracting operations (experts for evaluations, etc.);
- technical support provided by the Joint Research Center and the Joint Support Office in Ukraine;
- Expenditure at headquarters for the administrative support needed for the Instrument and to manage operations financed under this Regulation, including information and communication actions, and corporate information technology systems.

3.3. Mainstreaming

N/A

3.4. Risks and Lessons Learnt

Category	Risks	Likelihood (High/ Medium/ Low)	Impact (High/ Medium/ Low)	Mitigating measures
People and the organisation	Lack of cooperation from the different project (implementing) partners such as state authorities and implementing agencies, resulting in delays	L	L	Close monitoring of the relevant projects and remedial steps taken as early as possible in the project preparation or project implementation process
Planning, processes and systems	Unforeseen and unexpected (external) developments or unforeseen delays that would result in late delivery of results or even make the results of some of the projects eventually irrelevant	L	L	Close monitoring of the relevant projects and remedial steps taken as early as possible in the project preparation or project implementation process

3.5. The Intervention Logic

The Commission will be provided with technical and administrative support to facilitate the effective and efficient implementation of the programmes and projects of the European Instrument for International Nuclear Safety Cooperation (INSC).

3.6. Logical Framework Matrix

1. Results chain		Indicator	Baseline (value & ref year)	Target (value & ref year)	Data source	Assumptions
Impact	1. The promotion of an effective nuclear safety culture and implementation of the highest nuclear safety and radiation protection standards, and continuous improvement of nuclear safety	Grade of implementation of project outputs	0% (2021)	100% (2022)	Project reporting, monitoring and auditing	
Outcomes to Impact 1	1.1 Good governance		0% (2021)	100% (2022)	Budget control systems	Procurement procedure to be indicatively launched in Q4 2021
	1.2 Continued effective nuclear safety projects in Beneficiary countries		0%(2021)	100% (2022)	Monitoring reports, expert review of project results	Continued expert support by JRC will be available

4 IMPLEMENTATION ARRANGEMENTS

4.1. Financing Agreement

In order to implement this action, it is not envisaged to conclude a financing agreement with the partner country.

4.2. Indicative Implementation Period

The indicative operational implementation period of this action, during which the activities described in section 3 will be carried out and the corresponding contracts and agreements implemented, is 76 months from the date of adoption by the Commission of this Financing Decision.

Extensions of the implementation period may be agreed by the Commission's responsible authorising officer by amending this Financing Decision and the relevant contracts and agreements.

4.3. Implementation Modalities

The Commission will ensure that the EU appropriate rules and procedures for providing financing to third parties are respected, including review procedures, where appropriate, and compliance of the action with EU restrictive measures⁷.

4.3.1 Direct Management (Procurement)

Subject in generic terms, if possible	Type (works, supplies, services)	Indicative number of contracts	Indicative trimester of launch of the procedure
Programme support and studies	Services	7	Q2/2022

4.4. Scope of geographical eligibility for procurement and grants

The geographical eligibility in terms of place of establishment for participating in procurement and grant award procedures and in terms of origin of supplies purchased as established in the basic act and set out in the relevant contractual documents shall apply.

The Commission's authorising officer responsible may extend the geographical eligibility on the basis of urgency or of unavailability of services in the markets of the countries or territories concerned, or in other duly substantiated cases where application of the eligibility rules would make the realisation of this action impossible or exceedingly difficult (Article 11(8) EINS).

4.5. Indicative Budget

	EU contribution (amount in EUR)	Indicative third party contribution, in currency identified
4.3.1 Programme Support and studies Procurement (direct management)	1,745,200	N.A.
Evaluation and audit	70,000	N.A.
Totals	1,815,200	N.A.

⁷ www.sanctionsmap.eu. Please note that the sanctions map is an IT tool for identifying the sanctions regimes. The source of the sanctions stems from legal acts published in the Official Journal (OJ). In case of discrepancy between the published legal acts and the updates on the website it is the OJ version that prevails.

4.6. Organisational Set-up and Responsibilities

The stakeholders are: state institutions; project implementing agencies (public; private) in charge of preparing and implementing the different activities as financed by the EU, under the European Instrument for International Nuclear Safety Cooperation in the various partner countries.

Stakeholders are also the European Commission as financing authority, the European Union citizens, partner countries' citizens, and relevant organisations.

5 PERFORMANCE MEASUREMENT

5.1. Monitoring and Reporting

The day-to-day technical and financial monitoring of the implementation of this action will be a continuous process, and part of the implementing partner's responsibilities. To this aim, the implementing partner shall establish a permanent internal, technical and financial monitoring system for the action and elaborate regular progress reports (not less than annual) and final reports. Every report shall provide an accurate account of implementation of the action, difficulties encountered, changes introduced, as well as the degree of achievement of its results (outputs and direct outcomes) as measured by corresponding indicators, using as reference the logframe matrix (for project modality).

The Commission may undertake additional project monitoring visits both through its own staff and through independent consultants recruited directly by the Commission for independent monitoring reviews (or recruited by the responsible agent contracted by the Commission for implementing such reviews).

Roles and responsibilities for data collection, analysis and monitoring:

5.2. Evaluation

Having regard to the nature of the action, a final evaluation will not be carried out for this action or its components.

In case an evaluation is not planned, the Commission may, during implementation, decide to undertake such an evaluation for duly justified reasons, either on its own decision or on the initiative of the partner.

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The evaluation reports shall be shared with the partner country and other key stakeholders following the best practice of evaluation dissemination⁸. The implementing partner and the Commission shall analyse the conclusions and recommendations of the evaluations and, where appropriate, in agreement with the partner country, jointly decide on the follow-up actions to be taken and any adjustments necessary, including, if indicated, the reorientation of the project.

The financing of the evaluation shall be covered by another measure constituting a Financing Decision.

⁸ See best [practice of evaluation dissemination](#)

5.3. Audit and Verifications

Without prejudice to the obligations applicable to contracts concluded for the implementation of this action, the Commission may, on the basis of a risk assessment, contract independent audit or verification assignments for one or several contracts or agreements.

6 COMMUNICATION AND VISIBILITY

Communication and visibility is a contractual obligation for all entities implementing EU-funded external actions to advertise the European Union's support for their work to the relevant audiences.

To that end they must comply with the instructions given in the [*Communication and Visibility Requirements of 2018*](#) (or any successor document), notably with regard to the use of the EU emblem and the elaboration of a dedicated communication and visibility plan, to be completed for every action at the start of implementation.

These obligations apply equally, regardless of whether the actions concerned are implemented by the Commission, the partner country (for instance, concerning the reforms supported through budget support), contractors, grant beneficiaries or entrusted entities. In each case, a reference to the relevant contractual obligations must be included in the respective financing agreement, procurement and grant contracts, and delegation agreements.

Communication and visibility measures may be funded from the amounts allocated to the action. For the purpose of enhancing the visibility of the EU and its contribution to this action, the Commission may sign or enter into joint declarations or statements, as part of its prerogative of budget implementation and to safeguard the financial interests of the Union. Visibility and communication measures should also promote transparency and accountability on the use of funds.

Effectiveness of communication activities on awareness about the action and its objectives as well as on EU funding of the action should be measured.

Implementing partners shall keep the Commission and concerned EU Delegation/Office fully informed of the planning and implementation of specific visibility and communication activities before work starts. Implementing partners will ensure adequate visibility of EU financing and will report on visibility and communication actions as well as the results of the overall action to the relevant monitoring committees.

APPENDIX REPORTING IN OPSYS

An Intervention⁹ (also generally called project/programme) is the operational entity associated to a coherent set of activities and results structured in a logical framework aiming at delivering development change or progress. Interventions are the most effective (hence optimal) entities for the operational follow-up by the Commission of its external development operations. As such, Interventions constitute the base unit for managing operational implementations, assessing performance, monitoring, evaluation, internal and external communication, reporting and aggregation.

Primary Interventions are those contracts or groups of contracts bearing reportable results and respecting the following business rule: ‘a given contract can only contribute to one primary intervention and not more than one’. An individual contract that does not produce direct reportable results and cannot be logically grouped with other result reportable contracts is considered a ‘support entity’. The addition of all primary interventions and support entities is equivalent to the full development portfolio of the Institution.

Primary Interventions are identified during the design of each action by the responsible service (Delegation or Headquarters operational Unit).

The level of the Primary Intervention is defined in the related Action Document and it is revisable; it can be a(n) (group of) action(s) or a (group of) contract(s).

Tick in the left side column one of the three possible options for the level of definition of the Primary Intervention(s) identified in this action.

In the case of ‘Group of actions’ level, add references to the present action and other action concerning the same Primary Intervention.

In the case of ‘Contract level’, add the reference to the corresponding budgetary items in point 4.6, Indicative Budget.

N.B. An individual Contract that does not produce direct reportable results and cannot be logically grouped with other result reportable Contracts is considered a ‘support measure’.

Option 1: Action level		
<input checked="" type="checkbox"/>	Single action	Present action: all contracts in the present action
Option 2: Group of actions level		
<input type="checkbox"/>	Group of actions	
Option 3: Contract level		
<input type="checkbox"/>	Single Contract 1	
<input type="checkbox"/>	Single Contract 2	
<input type="checkbox"/>	Single Contract 3	
<input type="checkbox"/>	Group of contracts 1	

⁹ For the purpose of consistency between terms in OPSYS, DG INTPA, DG NEAR and FPI have harmonised 5 key terms, including ‘Action’ and ‘Intervention’ where an ‘Action’ is the content (or part of the content) of a Commission Financing Decision and ‘Intervention’ is a coherent set of activities and results which constitutes an effective level for the operational follow-up by the EC of its operations on the ground. See more on the [concept of intervention](#)