Evaluation of EU regional-level support to Central Asia (2007-2014)

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the European Commission_

International
Cooperation
and
Development

Evaluation of EU regional-level support to Central Asia (2007-2014)

This evaluation was commissioned by the Evaluation Unit of the Directorate-General for International Cooperation and Development (European Commission)

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Evaluation of the European Union's

co-operation

with
Central Asia

Regional Level Evaluation

<u>TERMS OF REFERENCE</u>

FWC EVA 2011 / Global price

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1 MANDATE AND OBJECTIVES

Systematic and timely evaluation of its programmes, activities, instruments, legislation and non-spending activities is a priority[1] of the European Commission[2] in order to demonstrate accountability and to promote lesson learning to improve policy and practice.[3].

The evaluation of the European Union's co-operation with Central Asia is part of the indicative multiannual evaluation programme 2014-2018 programme as approved by the Development Commissioner.

The generic purpose of geographic evaluations is:

– to provide the relevant external co-operation services of the European Union and the wider public with an **overall independent assessment** of the European Union's past and current cooperation ;

– to identify key lessons and to produce recommendations to improve current and inform future choices on co-operation strategy and delivery.

2 EVALUATION RATIONALE AND OBJECTIVE

The objective is to provide an overall independent assessment of the EU's co-operation strategy, on regional level, with Central Asia between 2007 and 2014, its delivery and impact. This must include lessons to be learned and recommendations to improve current co-operation, and future orientations regarding the strategic choices and their implementation.

The evaluation will draw on pertinent major EU policy documents, and those specifically relating to Central Asia.

The evaluation will cover :

All of DEVCO's co-operation with Central Asia, (regional programmes, including when relevant IFCA interventions, actions from thematic lines, EIDHR and NSA projects).

All particular thematic or issue focus as set out in section 3.

EEAS co-operation/programming in so far as it relates to Central Asia. The interaction of DEVCO's interventions in Central Asia with those of ECHO, IfS, EIB, EBRD, KfW and any other relevant European development banks.

Spending and non-spending activities in the evaluation period: completed, in progress and being identified as planned.

All EU financial instruments and channels relevant to Central Asia during the evaluated period.

All particular thematic or issue focus as set out in section 4.

The main users of this evaluation include the EU Commissioner(s), EU Management, thematic units and the European Union Delegations in Kazakhstan, Kyrgyz Republic, Tajikistan, Turkmenistan and Uzbekistan, the Governments of these countries, external partners and donors. The evaluation will also be of interest to the wider international development community.

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[1] EU Financial Regulation (art 27); Regulation (EC) No 1905/2000; Regulation (EC) No 1889/2006; Regulation (EC) No 1638/2006; Regulation (EC) No 1717/2006; Council Regulation (EC) No 215/2008.

[2] SEC(2007) 213 "Responding to Strategic Needs: Reinforcing the use of evaluation"

[3] COM (2011) 637 final "Increasing the impact of EU Development Policy: an Agenda for Change"

3 **BACKGROUND**

The Central Asia region covers five countries: Kazakhstan, Kyrgyz Republic, Tajikistan, Turkmenistan and Uzbekistan. Despite overall positive economic growth rates, is characterised by unequal development and significant diversity in terms of political, economic and social systems. Poverty reduction, as well as a sustainable and inclusive growth, remains considerable challenges. In terms of Human Development, Kazakhstan is the best performing, with the remaining four countries only achieving 'medium' levels of performance[4]. The region is environmentally at risk and vulnerable to the adverse impact of climate change which exacerbates regional tensions over the management of shared resources – notably energy and water - and desertification. All countries are under growing pressure as a result of aging infrastructure from the Soviet era, unable to cope with demographic growth and the needs of a surging young population seeking education and income generating activities.

All Central Asian states are engaged to various degrees in gradual democratisation process. The EU follows policy approaches in Central Asia in accordance with the diverse needs of every country and the performance of each country. Yet, in all countries EU co-operation includes the key goals of inclusive growth, sustainable development, and political and economic reform.

None of the five countries are specifically listed as among the most 'fragile' 51 states by the OECD[5], nevertheless there are situations of fragility at a national and subnational level driven by political exclusion and lack of state legitimacy, group grievance and other factors such as a factionalised elite, poor delivery of services including security and justice. Tajikistan, Kyrgyzstan and Uzbekistan are considered as being 'very high warning', while Turkmenistan features as 'high warning' and Kazakhstan as 'warning' on the Fragile States Index[6].

In line with the Agenda for Change and the Development Cooperation Instrument, EU regional programme 2014-2020 aim at supporting a broad-based process of dialogue and collaboration between Central Asia countries, promoting an environment conducive to a non-confrontational approach within the region, notably in areas sensitive for overall political and social stability.

Due to the large number of stakeholders and the wide geographical coverage, efficient coordination mechanisms are indispensable to ensure successful implementation of regional programmes, ownership by the countries and an adequate level of involvement of the various actors. The use of tailor-made programmes in support of policy reforms and institutional development, with targeted technical assistance for capacity building at national level, should be further enhanced.

Regional Programmes are mostly financed under the DCI instrument along with other actions financed under IfS/IfcS, EIHDR, Nuclear Safety, DCI Migration, FPI etc. mostly contracted by the EU Delegations in the beneficiary countries.

The EU Council adopted in June 2007 the "Strategy for a New Partnership with Central Asia" to enhance its partnership with the five countries and has since established a comprehensive political framework for strengthening links with the region in the priority areas for cooperation mutually agreed between the EU and the Central Asian partners. A common agenda has been developed for modernisation and reforms, building on regional policy dialogues and expert working groups in each of these priorities sectors building on the value added of EU experience and in particular its application in Easter Neighbourhood policy. When re-confirming its political commitment to the EU Central Asia Strategy in June 2012, the Council outlined together with its CA partners the future orientations and identified key actions for common endeavours in priority areas.

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[4] http://hdr.undp.org/sites/default/files/hdr14-report-en-1.pdf

[5] http://www.oecd.org/dac/incaf/FSR-2014.pdf

[6] http://ffp.statesindex.org/

The main evolution is that in 2007, the EU has adopted a regional policy towards CA partners, the EU CA Strategy for a New Partnership with aim to enhance its relationship with the region and each country specifically. The Strategy elaborated jointly with CA partners identified priorities areas for policy dialogue and cooperation which is now framing the content of the regional cooperation programmes as part of an ongoing process.

For each priority area the political process of cooperation has been established as flagship initiatives. Together with Eastern Neighbourhood programmes concerning energy, transport, education, water, migration private sector development and more specifically for Central Asia on Rule of Law, environment and drugs. When appropriate CA Regional Programmes have made reference to a common policy framework as per ENP, ex-CIS countries, notably on energy and transport [7].

There is substantial potential for regional cooperation due to common challenges that can only be tackled through a regional approach and by capitalising on the common goals of partner countries to get closer to the EU. For regional cooperation between the EU and its partner states in Central Asia, it is important to operate within specific joint sector policy dialogue and programming frameworks which ensure political engagement, ownership, and effective implementation and coordination among the partner countries at regional level.

With the CA Strategy, multilateral cooperation frameworks have considerably strengthened the sector policy dialogues and developed new forms of dialogue in terms of the scope and results of cooperation activities.

Regional programmes are useful as multipliers of valuable actions and lessons learned in one national context that were also relevant to other partners. Past experience has shown the importance of sharing lessons learned and best practices from countries that are undergoing similar post-communist transitions. It also remains important that projects and programmes for the region continue to be developed on a variable geometry basis. This enables specific country components of regional assistance to be tailored to national context and needs in Central Asia.

All the instruments for cooperation of the EU were used as tools to achieve the objectives defined through the political dialogues undertaken in the above initiatives including DCI, and thematic programmes (EIDHR, INSC, NSA/LA, DCI Migration, IFS, DIPECHO).

The areas of concentration of EU's aid during the period covered by this evaluation are:
- Sustainable regional development: energy, environment and regional business cooperation networks.
- Education, Science and People- to- People activities.
- Border management, customs, fight against organised crime, and the rule of law.

On <u>energy</u> with following key themes:
- Approximation of legal and technical standards with a view towards the creation of a functioning integrated energy market in accordance with EU and international legal and regulatory frameworks.

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[7] For over ten years, the countries of Central Asia have been fully involved in the EC Regional and Inter-State programmes as equal partners with the other CIS countries, candidate countries and new EU Member States in strategic areas such as energy, transport, environment and education. They have subscribed to the TRACECA multilateral agreement on transit and transport, the INOGATE Umbrella Agreement, to the EC-supported Environment for Europe Process and to the EU Water Initiative for Eastern Europe and Central Asia. They have been keen to adapt the reform of their higher education systems to the principles of the Bologna Process and in line with the Lisbon Agenda, in particular through the TEMPUS and the ERASMUS Mundus programmes)

- Enhancement of the safety and security of energy supplies.

- Improvement of energy supply and demand management through the integration of efficient and sustainable energy systems, in particular through the promotion of energy efficiency and renewable sources of energy.

- Promotion of financing of commercially and environmentally viable energy projects of common interest.

On environment with following themes:

- Strengthening the EU-CA Platform for Environment and Water Cooperation, within the framework of the EU Strategy for Central Asia.

- Strengthening environmental governance to promote environmental protection.

- To further promote activities related to mitigation of, and adaptation to climate change.[8]

- To support the regional aspects of the EECCA EU Water Initiative, in close collaboration with the existing regional institutions responsible for water matters, addressing:
    - Integrated water management and cross-border river management, and cooperation on regional seas.
    - Water Supply and Sanitation policy reforms.

- Strengthening the capacities to ensure access to environmental information, to involve relevant stakeholders in environmental decision-making, on reporting, and on conducting EIAs and SEAs in line with the Espoo and Aarhus Conventions and their Protocols. Convergence with the EC *acquis* on these matters will be promoted

On regional business cooperation with following themes:

- Promotion of SME development in Central Asia and links with the EU.

- Improving the business climate in Central Asia.

- Improve the capacity of legal and business services for SMEs in Central Asia.

- Enhancing relations with EU counterparts to increase mutual trade, transfer of technology (BAT), and investment, as well as the exchange of best practices and expertise.

On education with following themes:

- Promote institutional cooperation and institution building in higher education.

- Reform and modernisation of higher and vocational education and training systems through cooperation with EU higher education institutions, including through scholarship programmes.

- Promote cooperation between higher education institutions between the EU and within the partner countries thereby encouraging the transfer of know-how with a view to stimulating academic development and upgrading education, also, possibly, through the setting up of a network for European Studies in Central Asia.

- Improvement of university governance including transparency of education assessment.

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[8] Implementation of the Hyogo Framework for Action is also relevant here and is specifically supported through the DIPECHO Instrument. Additionally, enhanced nuclear safety and security, which includes environmental aspects, will be supported through the Nuclear Safety Instrument (INSC).

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- The acquisition of management skills by academic and administrative staff ensuring balance between institutions' growing autonomy and the need for greater financial sustainability.

- Increase the access to high capacity communication networks and foster the exchange of information between the research and education communities in Central Asia.

On <u>Border Management, Fight against drugs and the Rule of Law</u> the following themes:

- The adoption of an Integrated Border Management approach allowing for the facilitation of legitimate trade and transit whilst reducing illicit cross-border movement of goods and people.

- The enhancement of cooperation between border, customs and migration services within states, between the states of Central Asia and with relevant EU and international structures.

- The gradual adoption of EU best practice in the field of drugs policy.

- Improve the application of the rule of law, the independence of the judiciary and the protection of human rights.

The present evaluation falls under the framework of the RSP 2007-2013 and RIP 2007-2010/RIP 2011-2013.

4 SCOPE

4.1 Legal, temporal and thematic scope

4.1.1 Legal scope

The overall engagement with Central Asia must be taken into consideration including agreements, the co-operation framework and any other official commitments. This concerns notably: DCI, IfS, IcSP, EIHDR, DCI-Migration, Nuclear Safety, FPI including the relevant thematic programmes (Environment and Sustainable Management of Natural Resources, Energy, Migration and asylum, Non state actors and local authorities, Food security etc.).

Trans-regional programmes such as INOGATE, TRACECA, Erasmus Mundus and Tempus will be considered as to their regional impact, focusing on the 3Cs and EU added value.

Changes in the European Union institutional set-up with the creation of the European External Action Service (EEAS) should be taken into account.

4.1.2 Temporal scope

The evaluation covers the European Union's co-operation strategy with Central Asia and its implementation during the period 2007 to 2014.

4.1.3 Thematic scope

<u>Human Right, Rule of Law good governance and democracy</u> were highlighted as top priorities under the EU-CA Strategy with specific attention put on penal justice, constitutional reforms, electoral legislation and legal capacities and training.

On <u>education</u> initiative under the EU-CA Strategy attention was put on raising the educational standards focusing on higher education and vocational training, bringing modern teaching and learning methodologies in line with the principles of the Bologna process. Particular focus was given to skills development as a tool to reduce poverty. Another important action planned was to establish the Central Asia Regional Education Network (CAREN) to set up high-speed internet links between EU and Central Asia research and higher education institutions.

On <u>economic development and investment</u> the aim was set to help development of local business intermediary organisations supporting SMEs and improving business climate.

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On energy, the Baku Initiative established a road map for reforms in the energy sector, encompassing the convergence of energy markets, sustainable development of energy resources and energy efficiency, and investment attraction.

On transport emphasis was given on policy reforms for air and maritime transport and safety issues through engagement within the TRACECA framework.

On environment and water, the intensification of EUWI EECCA implementation was agreed to promote the water related MDG and the Integrated Water Resource Management as basis of national water policy and to promote trans-boundary dialogue. Climate change was identified as key area for cooperation including supporting environmental governance and formulation Climate changes mitigation and adaptation strategies. Activation of the mandate of the EIB covering CA was considered of particular importance for environmental and water issues in relation with IFCA.

The common threats and challenges were confirmed as theme of an increasing significance. The implementation of programmes related to the area of border management and combating drug trafficking made a significant contribution in building border infrastructure. The introduction of European style integrated border management practices remained a key objective for that period. An important new dimension was given to implement related programmes by deciding to make a direct use EU MS experience.

The prevention of drug use was highlighted to become an important aspect of EU assistance.

The evaluation must assess focal sectors and other important areas of European Union co-operation with Central Asia. It will also cover the coherence and complementarity with bi-lateral programmes.

Political and policy dialogue must be covered. The contractor shall also consider whether the cross-cutting[9] issues were taken into account in the programming documents and the extent to which they have been reflected in the implementation modalities.

Interventions funded by the European Commission Humanitarian Office (ECHO), BERD and/or the European Investment Bank (EIB) are not part of the evaluation scope. However, the interaction between these interventions and the strategy/ies evaluated must be examined.

5 EVALUATION CRITERIA

The evaluation will assess:

–   the relevance and coherence of the European Union's co-operation strategies for the period 2007-2014;

–   the results of the European Union's co-operation, focusing on impact, sustainability, effectiveness and efficiency for the period 2007-2014 and on intended effects for the period under the programming cycle [period corresponding to the current programming cycle];

–   the consistency between programming and implementation;

–   the value added of the European Union's interventions (at both the strategic and implementation levels);

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[9] Cross-cutting issues are those of the European Consensus on Development (Article 101): Human rights; Gender equality; Democracy; Good governance; Children's rights; Indigenous people's rights; Environment sustainability; Combating HIV/AIDS

– the 3Cs: coordination and complementarity of the European Union's interventions with other donors' interventions (focusing on EU Member States); and coherence between the European Union 's interventions in the field of development cooperation and other European Union policies that are likely to affect the partner region.

The evaluation shall take into account the relevant agreed recommendations of country/regional evaluations[10] issued in the period 2007 - 2014. The consultant must check to what extent they have been implemented, including in the following programming cycle(s). The sectoral evaluations of the INOGATE and TRACECA programs as well as of TEMPUS and interim evaluation of Erasmus Mundus under way or terminated in the course of the evaluation process will also be taken into account. Depending on the progress, the evaluation of the impact of Tempus on the internationalisation of higher education in Central Asia, planned to be launched by EAC in 2015 shall also be considered.

6 RESPONSIBILITY FOR THE MANAGEMENT OF THE EVALUATION

The EuropAid Evaluation Unit is responsible for the management and the supervision of the evaluation. The progress of the evaluation will be followed closely by a Reference Group consisting of representatives of all concerned services in the Commission and EEAS, as well as the EU Delegation in Kazakhstan and Kyrgyz Republic under the Evaluation Unit's chairmanship.

Its principal functions will be to:
- discuss draft reports produced by the evaluation team during meetings in Brussels;
- ensure the evaluation team has access to and consults all information sources and documentation on activities undertaken;
- discuss and comment on the quality of work done by the evaluation team;
- provide feedback on the findings, conclusions and recommendations of the evaluation.

The Reference Group communicates with the evaluation team via the Evaluation manager.

All meetings with the Reference group will be attended at least by the team leader and by one sectoral expert, member of the evaluation team. Other experts will be available to be reached by phone. For the briefing meeting, the presence of the Team leader may be sufficient. For all meetings (briefing meeting and Reference group meetings), the contractor shall prepare draft meetings minutes to be distributed by the Evaluation manager after their approval by participants.

7 PROCESS AND DELIVERABLES

The overall guidance to be used is available on the web page of the DG DEVCO Evaluation Unit under the following address:
http://ec.europa.eu/europeaid/how/evaluation/methodology/index_en.htm

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[10] Findings, data and recommendations provided inter alia in the European Court of Auditor's Special Report No 13/2013 on EU Development Assistance to Central Asia shall be duly taken into consideration

The basic approach to the assignment consists of three *main phases*, which encompasses several stages. *Deliverables* in the form of reports[11] and slide presentations should be submitted at the end of the corresponding stages.

The table below summaries these phases:

Evaluation phases:	Stages:	Deliverables[12]:
1. <u>Desk phase</u>	• Inception: Structuring of the evaluation	➤ *Slide presentation* ➤ *Inception report*
	• Data collection • Analysis	➤ *Desk report*
2. <u>Field phase</u> (Mission in the country/region)	• Data collection • Verification of the hypotheses	➤ *Slide presentation* ➤ *Country notes*
3. <u>Synthesis phase</u>	• Analysis • Judgements	➤ *Draft final report* ➤ *Slide presentation adapted + minutes of the dissemination seminar* ➤ *Final report*

All reports will be written in English. The reports must be written in Arial 11 or Times New Roman 12 minimum, single spacing. Graphs will be presented in a way to be also readable in a black/white version. Inception and Desk reports will be delivered only electronically. The Draft Final report and the Final report will also be delivered in hard copies. The Executive summaries in all languages required as well as the cover page photo (free of any copyright, free of charge) will be delivered separately in electronic form. The electronic versions of all documents need to be delivered in both editable and not editable format.

7.1 Desk phase

The Desk phase comprises two components: a first stage covering a presentation and the delivery of the *Inception report* and a second stage which ends with the production of the *Desk report*.

The assignment will start with the Team leader's mission to Brussels for a half-day **briefing meeting**.

7.1.1 Inception meeting

A half-day inception meeting will be held with the Reference group in Brussels, to present via a *slide presentation*:

− the IL diagrams;

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[11] For each Report a draft version is to be presented. For all reports, the contractor may either accept or reject through a *response sheet* the comments provided by the Evaluation manager. In case of rejection, the contractor must justify (in writing) the reasons for rejection. When the comment is accepted, a reference to the text in the report (where the relevant change has been made) has to be included in the response sheet.

[12] The contractors must provide, whenever requested and in any case at the end of the evaluation, the list of all document reviewed, data collected and databases built.

- the evaluation questions (their justification and judgement criteria).

These documents will be revised to take into account the comments formulated by the Reference group.

For this presentation, the main work consists in:

- Identifying and prioritizing the co-operation objectives as observed in relevant documents regarding the European Union's co-operation with Central Asia and translate these specific objectives into intended results.
- Reconstructing the intervention logics (faithful and reconstructed) of the EU in the framework of its co-operation with Central Asia.
- Defining the Evaluation Questions with their rationale.

More information on the main principles for drafting evaluation questions, on the evaluation criteria and key issues can be found in the annexes 5 and 6.

7.1.2 Inception report

Taking into account the outcome of the Inception meeting, the contractor must deliver an *Inception report* which should contain the following elements:

- the national background/context (political, economic, social, etc.) and the cooperation context between the European Union and the partner country/region;

- a concise description of the European Union's cooperation rationale with [country/region];

- the intervention logics (both faithful and reconstructed) of the European Union's cooperation;

- an inventory of spending and non-spending activities carried out by the EU during the period to be finalised in the desk report;

- the validated evaluation questions (upon validation by the Evaluation unit, the evaluation questions become contractually binding); a limited number of appropriate judgment criteria per evaluation question and a limited number of quantitative and/or qualitative indicators related to each judgment criterion;

- a proposal outlining suitable methods of collection and analysis of data and information, indicating any limitations;

- a detailed work plan for the next phases.

If necessary, the report will also suggest modifications to the composition of the evaluation team and/or to the work plan and schedule.

7.1.3 Desk report

Upon approval of the Inception report, the contractor will carry out the last stage of the desk phase and will prepare and present a **Desk report** which should include at least the following elements:

- the agreed evaluation questions with judgement criteria and their corresponding quantitative and qualitative indicators;

- a first analysis and first elements of response to each evaluation question and the hypotheses and assumptions to be tested in the field phase;

- progress in the gathering of data. The complementary data required for the analysis and to be collected during the field mission must be identified;

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- the comprehensive list of EU activities f carried out during the evaluation period and a list of activities examined during the desk phase, bearing in mind that activities analysed and selected in the desk phase must be representative[13];

- methodological design, including the evaluation design, data collection tools to be applied in the field phase, and appropriate methods to analyse the information, indicating any limitations;

- a work plan for the field phase: a list of interviews and other activities to be carried out in the country which will contribute to a more in depth analysis. The Evaluators must explain the representativeness and the value added of the planned visits.

The contractor will present (slides presentation) and discuss the Desk report with the Reference group in a half-day meeting in Brussels. The report will be finalised on the basis of the comments received.

The field mission will only start upon the formal authorisation of the Evaluation manager.

7.2   Field phase

The fieldwork shall be undertaken on the basis set out in the Desk report. The work plan and schedule of the mission will be agreed in advance (in principle at least three weeks before the mission starts). If it appears necessary to substantially deviate from the agreed fieldwork approach and/or schedule, (duration, number of experts, category etc.), the contractor must ask for the approval of the Evaluation manager before any changes can be applied. The related eligible costs will be revised accordingly. At the conclusion of the field mission the contractor will present the preliminary findings of the evaluation:

(1)   to the EU Delegations, during a de-briefing meeting and
(2)   to the Reference group in Brussels with the support of *a slide* presentation (half-day meeting).

7.3   Synthesis and dissemination phases

7.3.1   Draft final report

The contractor will submit *the Draft final report* in conformity with the structure set out in annex 2.

The **Draft final report** will be discussed with the Reference group in Brussels. Following the meeting with the Reference group, the consolidated comments will be sent by the Evaluation Manager and the contractor will make appropriate modifications to the Draft final report taking into consideration comments received during de-briefing meetings with the Delegation and with the Reference group.

7.3.2   Seminar

The approved Draft final report will be presented at a seminar in one of the capitals of the Central Asia countries using a slide presentation. For the purpose of the offer, Bishkek shall be considered. The final place of the seminar will be communicated by the Evaluation manager in due time. No modification to the financial aspects of the contract is foreseen as far as the location remains in the Central Asia region. The purpose of the seminar is to present the results, the conclusions and the preliminary recommendations of the evaluation to the representatives of the national authorities, the Delegation and of all the main stakeholders (EU Member States, representatives of civil society organisations, other donors etc.) and obtain their reactions.

For the seminar, 80 hard copies of the main report (see annex 2 of the ToR) have to be produced and delivered (in principle) to the EU Delegation in the country where the seminar shall take place (the

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[13] The representativeness must address the different dimensions (percentage of funds, sample size and choice – diversity, illustration of the chosen interventions …).

exact number of reports, addressee and delivery date per destination will be specified by the Evaluation manager). Before printing, a proof copy shall be sent to and approved by the Evaluation manager.

The contractor shall submit the minutes of the seminar. These minutes, once approved by the Evaluation manager, will be included as an annex of the Final report. The (if necessary) updated slide presentation will be made available to the Evaluation manager (to be published on Internet).

The seminar logistics (room rental, catering etc.) costs are not to be included in the offer. The cost related to the presence of the experts is to be covered by the offer.

7.3.3 Final report

The contractor will prepare the *Final report* taking into account the comments expressed during the seminar. The Final report must be approved by the Evaluation manager before it is printed. Before printing, a proof copy shall be sent to and approved by the Evaluation manager. The executive summary not exceeding 5 pages shall be translated in Russian and included into the Final main report.

The offer will be based on *50 hard copies of the Final main report (without annexes) and 2 copies with annexes.* The Evaluation manager will indicate in due time how many copies exactly are to be sent to the DEVCO Evaluation Unit. Before printing, a proof copy shall be sent to and approved by the Evaluation manager. A non-editable version on CD-ROM support shall be added to each printed Final main report.

The Evaluation Unit will make a formal judgement on the quality of the evaluation in the "Quality Assessment Grid" (see annex 3) to be sent to the contractor before publication on Internet.

7.3.4 Dissemination

In order to achieve an optimal dissemination and awareness, additional dissemination activities such as presentation in seminars may be requested by the Contracting authority. In case of financial implications on the total contractual amount, such request (requests) will be formalised via a rider.

8 EVALUATION TEAM

The evaluation team as such is expected to possess expertise in:

- **evaluation methods and techniques** in general and, if possible, of evaluations in the field of external relations and development cooperation. It is highly desirable that at least the Team leader is fully familiar with the Commission's methodological;

- previous relevant **expertise in Central Asia**;

- sectors of :
    - **Environment** (focus on water management and climate change).
    - **Private sector development**, Investments, Trade and Regional integration.
    - Education (higher education and vocational training).
    - **Border management**, customs, fight against organised crime, and the rule of law.
- the working knowledge of the following language(s): **English** and Russian.

The key skills are indicated **in bold**. In their absence, the 80 points threshold may not be reached.

It is expected that the Team leader will be an expert of category Senior.

The team composition should be justified and the team coordination and members' complementarity should be clearly described. A breakdown of working days per expert and per evaluation stage must be provided.

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The team members must be independent from the programmes/projects/policies evaluated. Should a conflict of interest be identified in the course of the evaluation, it should be immediately reported to the Evaluation manager for further analysis and appropriate measures.

The team will have excellent writing and editing skills. The Contractor remains fully responsible for the quality of the report. Any report which does not meet the required quality will be rejected.

During the offers evaluation process the contracting authority reserves the right to interview by phone one or several members of the proposed evaluation teams.

The Framework Contractor must make available an appropriate logistical support for the experts, including their travel and accommodation arrangements for each assignment, the secretarial support, appropriate **software and** communication means. The experts will be equipped with the standard equipment, such as an individual laptop, computer, mobile phones, etc. No additional cost for these items may be included in the offer.

9 <u>TIMING</u>

The project implementation is due to start second half of February 2015. The expected duration is of 15 months. As part of the technical offer, the framework contractor must fill-in the timetable in the Annex 4. However, this table shall not start by a precise date but by "day/week 1".

10 <u>OFFER FOR THE ASSIGNEMENT</u>

The financial offer will be itemised to allow the verification of the fees compliance with the Framework contract terms as well as, for items under h to k of the contractual price breakdown model, whether the prices quoted correspond to the market prices. In particular, the local travel costs will be detailed and if necessary, justified in an Explanatory note. The per diems will be based on the UN per diem http://ec.europa.eu/europeaid/work/procedures/implementation/per_diems/index_en.htm which are the maxima not to be exceeded.

The total length of sections 2, 3 and 4 of the technical offer (Framework contract, Annexe 1, section 10.3. b) may not exceed 15 pages, a CV may not exceed 4 pages (font minimum Times New Roman 12 or Arial, 11). References and data relevant to the assignment must be highlighted in bold.

The methodology submitted shall not contain terms such as "if time allows", "if the budget allows", "if the data are available" etc. Should it appear that an activity foreseen in the methodology is impossible or inappropriate to be carried out due to force majeure or other reasons in the interest of the assignment, the change to the methodology as well as its financial impact must be agreed by the Evaluation manager.

The offer is expected to demonstrate the team's understanding of the ToR in their own words. Should the offer contain quotations, these must be clearly identified and sources indicated.

11 TECHNICAL OFFERS EVALUATION CRITERIA

The offers must contain as minimum all items referred to in the Annex 1, art. 10.3.b. of the Framework contract.

The offers evaluation criteria and their respective weights are:

	Maximum
Total score for Organisation and methodology	
Understanding of ToR	10
Organization of tasks including timing	10
Evaluation approach, working method, analysis, quality control mechanism	30
Sub Total	**50**
Experts/ Expertise	
Team leader	15
Other experts	25
Quality control expert	10
Sub Total	**50**
Overall total score	**100**

12 ANNEXES

The contracting authority reserves the right to modify the annexes without prior notice.

ANNEXES

ANNEX 1: INDICATIVE DOCUMENTATION TO BE CONSULTED FOR THE PURPOSE OF THE EVALUATION BY THE SELECTED CONTRACTOR

General documentation

− Communications of the European Union; and

− Various regulations.

Country/Region

− CRIS[14] (information on the projects), ROM[15] and other databases concerning the financed projects, engagements, payments, etc.;

− EU Partnerships Agreements;

− EU Cooperation strategies;

− Conclusions of the Mid-term and End-of-Term Reviews;

− Key government planning and policy documents;

− Projects evaluation reports; Documentation provided by the local authorities and other local partners, etc.;

− Other donors and OECD/DAC documentation.

The following will be provided to the selected contractor:

− Access to the information contained in the ROM system for an evaluation;

− Template for the cover page.

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[14] Common RELEX Information System
[15] Results Oriented Monitoring

ANNEX 2: OVERALL STRUCTURE OF THE FINAL REPORT

The overall layout of the **Final report** is:

− Executive summary (1);

− Context of the evaluation and methodology;

− Evaluation questions and their answers (findings);

− Overall assessment (max. 2 pages)

− Conclusions (2);

− Recommendations (3).

Length: the final main report may not exceed 75 pages excluding annexes. Each annex must be referenced in the main text. Additional information regarding the context, the activities and the comprehensive aspects of the methodology, including the analysis, must be put in the annexes.

(1) Executive summary

The executive summary of the evaluation report may not exceed 5 pages (3.000 words). It should be structured as follows:

a) 1 paragraph explaining the objectives and the challenges of the evaluation;

b) 1 paragraph explaining the context in which the evaluation takes place;

c) 1 paragraph referring to the methodology followed, spelling out the main tools used (data on the number of projects visited, number of interviews completed, number of questionnaires sent, number of focus groups conducted, etc.);

d) The general conclusions related to sectorial and transversal issues on one hand, and the overarching conclusion(s) (for example on poverty reduction) on the other hand;

e) A limited number of main conclusions should be listed and classified in order of importance; and

f) A limited number of main recommendations should be listed according to their importance and priority. The recommendations have to be linked to the main conclusions.

The chapters on conclusions and recommendations should be drafted taking the following issues into consideration:

(2) Conclusions
− The conclusions have to be assembled by homogeneous "clusters" (groups). It is not required to set out the conclusions according to the evaluation criteria.

− The general conclusions related to sectorial and transversal issues and the overarching conclusion(s) (for example on poverty reduction).

− Specific conclusions on each financial instrument indicated in the ToR section "3.1.1. Legal scope". These conclusions will focus on effectiveness, efficiency, added value, complementarity and synergies with other financial instruments.

− The chapter on conclusions must enable to identify lessons learnt, both positive and negative.

<u>(3) Recommendations</u>

  – Recommendations should be substantiated by the conclusions.

  – Recommendations have to be grouped in clusters (groups) and presented in order of importance and priority within these clusters.

  – Recommendations have to be realistic and operational.

  – The possible conditions of implementation (who? when? how?) have to be specified and key steps/action points should be detailed when possible.

Annexes (non exhaustive)

  – National background;

  – Methodological approach;

  – Information matrix;

  – Monograph, case studies;

  – List of documents consulted;

  – List of institutions and persons met;

  – People interviewed;

  – Results of the focus group, expert panel etc.;

  – Slide presentations in the country/regional seminar and the seminar minutes;

  – All data bases constructed for the purpose of the evaluation.

EDITING

The Final report must:

- be consistent, concise and clear;

- be well balanced between argumentation, tables and graphs;

- be free of linguistic errors;

- include a table of contents indicating the page number of all the chapters listed therein, a list of annexes (whose page numbering shall continue from that in the report) and a complete list in alphabetical order of any abbreviations in the text;

- contain an Executive summary (or summaries in several linguistic versions when required).

- be typed in single spacing and printed double sided, in A4 format.

  – The presentation must be well spaced (the use of graphs, tables and small paragraphs is strongly recommended). The graphs must be clear (shades of grey produce better contrasts on a black and white printout).

  – Reports must be glued or stapled; plastic spirals are not acceptable.

  – The contractor is responsible for the quality of translations and their conformity with the original text.

ANNEX 3 : QUALITY ASSESSMENT GRID

Concerning these criteria, the evaluation report is:	Unacceptable	Poor	Good	Very good	Excellent
1. Meeting needs: Does the evaluation adequately address the information needs of the commissioning body and fit the terms of reference?					
2. Relevant scope: Is the rationale of the policy examined and its set of outputs, results and outcomes/impacts examined fully, including both intended and unexpected policy interactions and consequences?					
3. Defensible design: Is the evaluation design appropriate and adequate to ensure that the full set of findings, along with methodological limitations, is made accessible for answering the main evaluation questions?					
4. Reliable data: To what extent are the primary and secondary data selected adequate? Are they sufficiently reliable for their intended use?					
5. Sound data analysis: Is quantitative information appropriately and systematically analysed according to the state of the art so that evaluation questions are answered in a valid way?					
6. Credible findings: Do findings follow logically from, and are they justified by, the data analysis and interpretations based on carefully described assumptions and rationale?					
7. Validity of the conclusions: Does the report provide clear conclusions? Are conclusions based on credible results?					
8. Usefulness of the recommendations: Are recommendations fair, unbiased by personnel or shareholders' views, and sufficiently detailed to be operationally applicable?					
9. Clearly reported: Does the report clearly describe the policy being evaluated, including its context and purpose, together with the procedures and findings of the evaluation, so that information provided can easily be understood?					
Taking into account the contextual constraints on the evaluation, the overall quality rating of the report is considered.					

ANNEX 4 : TIMING

To be filled by the contractors and submitted as part of the methodology

Evaluation Phases and Stages	Notes and Reports	Dates	Meetings/Communications
Desk phase			
Structuring stage			Briefing session in Brussels
	Slide presentation		RG Meeting
			Short preparatory visit of the evaluators to the field, except for Regional evaluations
	Draft Inception report		RG meeting
	Final Inception report		
Desk study	Draft Desk report		RG Meeting
	Final Desk report		
Field phase			De-briefing meeting with the Delegation
	Presentation		RG Meeting
Synthesis phase (seminar in the region)			
	1st Draft final report		RG Meeting
	2nd Draft final report Presentation + Minutes		Seminar in Bishkek
	Final report + other deliverables		

RG: Reference Group

ANNEX 5: EVALUATION CRITERIA AND KEY ISSUES

(1) Definitions of the **five OECD-DAC evaluation criteria** can be found at the following address:

http://www.oecd.org/dac/evaluationofdevelopmentprogrammes/daccriteriaforevaluatingdevelopmentassistance.htm

(2) **Relevance:** the extent to which an intervention's objectives are pertinent to needs, problems and issues to be addressed.[16]

(3) **"Coherence"** is used in two different contexts: as an evaluation criterion and as part of the 3Cs (key issues).

i. The definitions of coherence as evaluation criteria:

Coherence[17]: the extent to which the intervention logic is not contradictory/the intervention does not contradict other intervention with similar objectives

ii. *Provisions regarding the 3Cs (key issues):*

Development cooperation is a shared competence between the European Community and the Member States. The EU competence on development cooperation was established in law by the adoption of the Maastricht Treaty in 1992. To guide its practical implementation the Maastricht Treaty established three specific requirements: *coordination, complementarity and coherence* – the "*three Cs*". These commitments are reaffirmed in the "European Consensus for Development"[18]. The legal provisions with regard to the 3Cs remain largely unchanged in the Lisbon Treaty. They offer basic definitions of the various concepts involved as can be seen in the box below.

Lisbon Treaty

Art. 208 (ex Art. 177 TEC)
1. "Union policy in the field of development cooperation shall be conducted within the framework of the principles and objectives of the Union's external action. The Union's development cooperation policy and that of the Member States complement and reinforce each other.
Union development cooperation policy shall have as its primary objective the reduction and, in the long term, the eradication of poverty. The Union shall take account of the objectives of development cooperation in the policies that it implements which are likely to affect developing countries."

Art, 210 (ex Art, 180 TEC)
1. "In order to promote the complementarity and efficiency of their action, the Union shall coordinate their policies on development cooperation and shall consult each other on their aid programmes, including in international organisations and during international conferences. They may undertake joint action. Member States shall contribute if necessary to the implementation of Community aid programmes.

2. The Commission may take any useful initiative to promote the coordination referred to in paragraph 1."

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[16] Evaluating EU activity - Glossary p.101 (Relevance, p. 108):
http://ec.europa.eu/dgs/secretariat_general/evaluation/docs/eval_activities_en.pdf.
While, according to the DAC Glossary the **relevance** is the extent to which the objectives of a development intervention are consistent with beneficiaries' requirements, country needs, global priorities and partners' and donors' policies. The terms **'relevance and coherence'** as European Union's evaluation criteria cover the DAC definition of 'relevance'.
[17] Evaluating EU activity - Glossary p.101 (Coherence: p.102):
http://ec.europa.eu/dgs/secretariat_general/evaluation/docs/eval_activities_en.pdf
[18] (2006/C 46/01)

Coordination: In EC policy documents the distinction is made between three levels of coordination: (i) policy coordination; (ii) operational coordination and (iii) coordination in international forums.

Complementarity: The obligation to ensure complementarity is a logical outcome of the fact that development cooperation is a shared competence between the EC and the Member States. Over time, the concept was linked to a better distribution of roles between the Commission and the Member States on the base of their respective comparative advantages. This interpretation is also the basis for the Code of Conduct on Complementarity (2007) emphasizing the need for a „division of labour" (DOL) between the various European actors in delivering aid.

Coherence: One such typology distinguishes between (i) coherence/incoherence of European development policy itself; (ii) coherence/incoherence with the partner country's/region's policies; and (iii) coherence/incoherence between development co-operation policies and policies in other fields[19].

(4) **Value added of the European Union's interventions**: The criterion is closely related to the principle of subsidiarity and relates to the fact that an activity/operation financed/implemented through the Commission should generate a particular benefit.

There are practical elements that illustrate possible aspects of the criterion:

1) The European Union has a particular capacity, for example experience in regional integration, above that of EU Member States.

2) The European Union has a particular mandate within the framework of the '3Cs' and can draw Member States to a greater joint effort.

3) The European Union's cooperation is guided by a common political agenda embracing all EU Member States.

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[19] In recent years, the concept of „policy coherence for development" (PCD) has gained momentum, in the European Consensus (2005) PCD was defined as "*ensuring that the EU takes account of the objectives of development cooperation in all policies that it implements which are likely to affect developing countries, and that these policies support development objectives.*" (par. 9).

ANNEX 6: PRINCIPLES REGARDING THE DRAFTING OF EVALUATION QUESTIONS

Main principles to follow when preparing evaluations questions (EQ)

(1) Limit the total number of EQ to 10 for each evaluation.

(2) In each evaluation, more than half of EQ should cover specific actions and look at the chain of results.

- Avoid too many questions on areas such as cross cutting issues, 3Cs and other key issues, which should be covered as far as possible in a transversal way, introducing for example specific judgement criteria in some EQs.

(3) Within the chain of results, the EQs should focus at the levels of results (outcomes) and specific impacts.

- Avoid EQs limited to outputs or aiming at global impact levels.

- In the answer to EQs, the analysis should cover the chain of results preceding the level chosen (outcomes or specific impacts).

(4) EQ should be focused and addressing only one level in the chain of results.

- Avoid vague questions where follow-up questions are needed (questions à tiroirs).

- Avoid questions dealing with various levels of results (for example looking at outcomes and specific impacts in the same EQ).

(5) The 7 evaluation criteria should not be present in the wording of the EQ.

(6) General concepts such as sustainable development, governance, reinforcement, etc. should be avoided.

(7) Each key word of the question must be addressed in the answer.

- Check if all words are useful.

- Check that the answer cannot be yes or no.

- Check that the questions include a word calling for a judgement.

(8) Every EQ must be accompanied by a limited number of judgement criteria; some of them dealing with cross cutting and some key issues (see point 2 above).

(9) A short explanatory comment should specify the meaning and the scope of the question.

Annex 2: Inventory

1 Environment sector

Decision Reference / Contract Number	Decision / Contract Title	Contract Year	Contractor Name	Total Contracted
DCI-ASIE/2009/021-337	**Regional Environmental Programme for Central Asia (EURECA) 2009**			**8.672.027**
312322	Forest and Biodiversity Governance, Including Environmental Monitoring: FLERMONECA	2013	DEUTSCHE GESELLSCHAFT FUR INTERNATIONALE ZUSAMMENARBEIT (GIZ) GMBH	4.388.250
262949	Regional coordination and support for the EU – CA enhanced regional cooperation on Environment and Water: WECOOP	2012	LANDELL MILLS LIMITED	1.496.320
277119	Supporting water management and strengthening transboundary river basin administrations in Central Asia	2011	DEUTSCHE GESELLSCHAFT FUR INTERNATIONALE ZUSAMMENARBEIT (GIZ) GMBH	1.279.677
277203	Targeted awareness raising for enhanced EU-CA partnership	2011	THE REGIONAL ENVIRONMENTAL CENTRE FOR CENTRAL ASIA-ASSOCIATION	787.784
277128	Capacity building on river basin planning for water management organisations and joint river basin structures	2011	DEUTSCHE GESELLSCHAFT FUR INTERNATIONALE ZUSAMMENARBEIT (GIZ) GMBH	719.996
DCI-ENV/2008/023-940	**Support to OECD for the implementation of National Policy Dialogues in EECCA countries under the EU Water Initiative.**			**4.873.373**
260062	Support to the OECD and the UNECE to facilitate national policy dialogues on water in countries in Eastern Europe, the Caucasus and Central Asia (EECCA) under the EU Water Initiative	2011	ORGANISATION FOR ECONOMIC CO-OPERATION AND DEVELOPMENT	3.200.000

Decision Reference / Contract Number	Decision / Contract Title	Contract Year	Contractor Name	Total Contracted
149674	Support to OECD for the implementation of National Policy Dialogues in EECCA countries under the EU Water Initiative.	2008	ORGANISATION FOR ECONOMIC CO-OPERATION AND DEVELOPMENT	1.673.373
DCI-ASIE/2010/021-627	**Investment Facility for Central Asia 2010 (IFCA)**			**17.400.000**
278348	"Central Asian Technical Assistance Framework for the preparation and implementation of EBRD Municipal and Environmental Infrastructure Projects"	2011	EUROPEAN BANK FOR RECONSTRUCTION AND DEVELOPMENT	8.300.000
280369	Central Tajik Water Rehabilitation (Tajikistan)	2011	EUROPEAN BANK FOR RECONSTRUCTION AND DEVELOPMENT	7.200.000
279688	Sugd Energy Loss Reduction Project	2011	EUROPEAN BANK FOR RECONSTRUCTION AND DEVELOPMENT	1.900.000
DCI-ASIE/2011/023-117	**Investment Facility for Central Asia (IFCA)**			**16.630.250**
333285	Framework for Strengthening Municipal Infrastructure in Central Asia – Selected Projects of the Water Component	2013	EUROPEAN BANK FOR RECONSTRUCTION AND DEVELOPMENT	7.530.250
283027	Sugd Energy Loss Reduction Project	2011	EUROPEAN BANK FOR RECONSTRUCTION AND DEVELOPMENT	5.300.000
335400	IFCA contribution to "Bishkek Solid Waste Project in Kyrgyz Republic"	2013	EUROPEAN BANK FOR RECONSTRUCTION AND DEVELOPMENT	3.800.000

Decision Reference / Contract Number	Decision / Contract Title	Contract Year	Contractor Name	Total Contracted
DCI-ASIE/2013/024-950	**Investment Facility for Central Asia 2013 (IFCA)**			**0**
335400	Bishkek Solid Waste Project in Kyrgyz Republic	2013	EUROPEAN BANK FOR RECONSTRUCTION AND DEVELOPMENT	0
354161	Tokmok water project	2014	EUROPEAN BANK FOR RECONSTRUCTION AND DEVELOPMENT	0
354878	Improvement of the solid waste management system in the City of Samarkand	2014	AGENCE FRANCAISE DE DEVELOPPEMENT	0
NSI/2010/022-653	**INSC2010 part II - Regional**			**2.148.810**
344980	Establishment of a legislative and regulatory framework, regional watershed monitoring system and capacity building for remediation of uranium mining legacy sites in Central Asia	2014	ENCONET CONSULTING GMBH	2.148.810
IFS-RRM/2012/023-834	**Instrument for Stability - Crisis Preparedness Component (Peace-building Partnership)**			**1.500.000**
308039	Climate Change and Security in Eastern Europe, Central Asia and the Southern Caucasus (ENVSEC)	2012	ORGANIZATION FOR SECURITY AND COOPERATION IN EUROPE	1.500.000
PP-AP/2007/019-578	**Toward a sustainable management of water resources in central Asia**			**1.500.000**
170182	Promoting Integrated Water Resources Management and Fostering Transboundary Dialogue in Central Asia	2008	UNITED NATIONS DEVELOPMENT PROGRAMME	1.500.000

Decision Reference / Contract Number	Decision / Contract Title	Contract Year	Contractor Name	Total Contracted
DCI-ASIE/2011/022-978	**Operational short-term technical assistance related to the financial and technical cooperation with Central Asia**			**1.000.000**
310282	Central Asia Energy & Water Development Program Multi Donor Trust Fund	2012	THE WORLD BANK GROUP	1.000.000
DCI-ENV/2009/020-656	**General Call for Proposals for Priority 1, 2 and 5 of the strategy paper ENRTP**			**1.356.006**
254794	Poverty Alleviation through Mitigation of Integrated high mountain Risk (PAMIR)	2010	HILFSWERK AUSTRIA INTERNATIONAL - OSTERREICHISCHES HILFSWERK FUR INTERNATIONALE ZUSAMMENARBEIT	1.356.006
NSI/2013/024-801	**INSC 2013 Central Asia (Joint Management)**			**500.000**
354316	Stakeholder Engagement for Uranium Legacy Remediation in Central Asia	2014	UNITED NATIONS DEVELOPMENT PROGRAMME	500.000

2   Border management sector

Decision Reference / Contract Number	Decision / Contract Title	Contract Year	Contractor Name	Total Contracted
DCI-ASIE/2009/021-058	**Border Management in Central Asia - Phase 8 (BOMCA 8)**			**7.900.000**
268512	Border Management in Central Asia – Phase 8	2011	UNITED NATIONS DEVELOPMENT PROGRAMME	7.900.000
DCI-ASIE/2008/019-812	**Central Asia Drug Action Programme Phase 5 (CADAP 5)**			**4.900.000**
229666	Central Asia Drug Action Programme, Phase 5 (CADAP 5)	2010	DEUTSCHE GESELLSCHAFT FUR INTERNATIONALE ZUSAMMENARBEIT (GIZ) GMBH	4.900.000

Decision Reference / Contract Number	Decision / Contract Title	Contract Year	Contractor Name	Total Contracted
IFS/2009/021-653	**IfS - AAP 2009 - Priority 1 "Non-proliferation of WMD"**			**4.000.000**
235364	Border monitoring activities in the Republic of Georgia, Central Asia and Afghanistan	2010	JRC CCR JOINT RESEARCH CENTRE - CENTRE COMMUN DE RECHERCHE	4.000.000
DCI-ASIE/2010/022-207	**EU-Central Asia Rule of Law Platform**			**1.859.250**
276619	Rule of Law Platform - Central Asia	2011	ALTAIR ASESORES SL	1.859.250
DCI-ASIE/2013/024-821	**EU-Central Asia Rule of Law Platform Phase II**			**0**
353515	EU-Central Asia Rule of Law Platform Phase II	2014	IBF INTERNATIONAL CONSULTING SA	0
EIDHR/2009/021-317	**EIDHR 2009 Annual Action Programme - Targeted Projects**			**578.474**
220187	EU-Central Asia Rule of Law Initiative	2009	CONSEIL DE L' EUROPE	578.474
EIDHR/2012/023-790	**EIDHR 2012 Annual Action Programme - Without Country Based Support Schemes and Targeted Projects**			**400.000**
312761	Supporting Constitutional Justice, Access to Justice and Electoral Reform in the Countries of Central Asia	2013	CONSEIL DE L' EUROPE	400.000
IFS-RRM/2013/025-016	**Towards a comprehensive implementation of the Joint Plan of Action for Central Asia under the United Nations Global Counter-Terrorism Strategy**			**800.000**
335708	Towards a comprehensive implementation of the Joint Plan of Action for Central Asia under the United Nations Global Counter-Terrorism Strategy	2014	UNITED NATIONS ORGANISATION	800.000

3 SME development sector

Decision Reference / Contract Number	Decision / Contract Title	Contract Year	Contractor Name	Total Contracted
DCI-ASIE/2007/019-269	**Central Asia Invest**			**4.558.000**
150278	Support to food processing enterprises in Tajikistan	2008	HILFSWERK AUSTRIA INTERNATIONAL - OSTERREICHISCHES HILFSWERK FUR INTERNATIONALE ZUSAMMENARBEIT	485.718
150198	Strengthening Tourism Business Intermediary Organisations for Sustainable Economic Development in Tajikistan	2008	STICHTING EUROPEAN CENTRE FOR ECO AGRO TOURISM	428.602
150210	Economic development based in organic agriculture in south kazakhstan	2008	STICHTING LOUIS BOLK INSTITUUT	413.537
150423	Central Asia Business Organisations Trainings - CABOTs	2008	CAMERA DI COMMERCIO INDUSTRIA ARTIGIANATO E AGRICOLTURA LATINA	392.802
150337	Raising the professionalism and public outreach of Tajik Business Intermediary Organisations	2008	INTERNEWS EUROPE ASSOCIATION	386.288
150088	Enabling Business Development for Small and Medium Enterprises in Tajikistan	2008	MOUNTAIN SOCIETIES DEVELOPMENT SUPPORT PROGRAMME	384.984
150076	Promoting the Role of Women Entrepreneurs in Local Economic Development (PROWELED)	2008	SEQUA GGMBH	338.371
150232	Building sustainable partnerships in Kazakhstan, Uzbekistan and Kyrgyzstan: Strengthening the Capacity of Business Intermediary Organisations in Central Asia in the healthcare sector	2008	SPECTARIS DEUTSCHER INDUSTRIEVERBAND FUR OPTISCHE, MEDIZINISCHE UND MECHATRONISCHE TECHNOLOGIEN EV	312.487
150403	Capacity building and sustainable development of Forwarding Associations in Central Asia Countries	2008	BULGARIAN NATIONAL FORWARDERS ASSOCIATION	274.768
150111	TOURISM FOR KYRGYSTAN	2008	HOXTON BIBLIOTECH LBG	272.316
150342	Promotion of Kyrgyzstan's Economy" (Pro KyEc)	2008	WIRTSCHAFTSKAMMER OSTERREICH	261.148
150244	Start up for Export	2008	HANDWERKSKAMMER TRIER	249.928
147343	Evaluation of project proposals submitted under the Central Asia Invest Programme - 2008 Deadline	2008	ITALTREND SPA	126.447

Decision Reference / Contract Number	Decision / Contract Title	Contract Year	Contractor Name	Total Contracted
151273	Training session for short-listed applicants, Brussels 2008	2008	BCD TRAVEL BELGIUM NV	95.888
169806	Eu-Central Asia cooperation on private sector development, Stakeholders meeting, Bishkek 2009	2008	APPLICATION EUROPEENNE DE TECHNOLOGIES ET DE SERVICES SARL	93.582
169975	Central Asia Invest: Stakeholders Meeting, Bishkek 2009 - Recruitment of experts	2008	AGRICONSULTING EUROPE SA	41.133
DCI-ASIE/2009/020-507	**Central Asia Invest II (2009-2010)**			**5.117.288**
229011	Eurasia Competitiveness Programme - Central Asia Initiative	2009	ORGANISATION FOR ECONOMIC CO-OPERATION AND DEVELOPMENT	1.000.000
253565	CASIP-SMEs – IP Services to Enterprises to Support Innovation and Market	2010	CAMERA DI COMMERCIO INDUSTRIA ARTIGIANATO E AGRICOLTURA DI VENEZIA	498.780
235280	Reinforcement of the role and competences of Business Intermediary Organizations to increase their capacity to support food processing SMEs in Kyrgyzstan in the fields of HACCP, food safety, branding, marketing and international certification	2010	BRITISH EXPERTISE LBG	483.658
253497	Capacity Building towards Advancing ISO Standards and Quality Management in the Transport & Logistics Sector	2010	DEUTSCHE GESELLSCHAFT FUR INTERNATIONALE ZUSAMMENARBEIT (GIZ) GMBH	472.010
253415	Strengthening Central Asian - European partnership and co-operation in the fashion sector (EURASIA-FASHION)	2010	HELLENIC CLOTHING INDUSTRY ASSOCIATION	459.131
235151	Strengthening Tourism sector enhancing BIOs capacity to assist tourism - StreTO	2010	RETECAMERE SCARL	444.327
235282	Strengthening Tourism Business Intermediary Organisations for Sustainable Economic Development in Kyrgyzstan and Central Asia	2010	STICHTING EUROPEAN CENTRE FOR ECO AND AGRO TOURISM-NEDERLAND	429.727
235298	Promoting the development of the handicraft sector in Tajikistan through fair-trade link and BIO networking	2010	CESVI FONDAZIONE ONLUS ASSOCIAZIONE	383.918
256682	Integrated approach towards promoting Central Asian Nuts, Dried fruits and Honey processing SME (CANDY)	2010	HILFSWERK AUSTRIA INTERNATIONAL - OSTERREICHISCHES HILFSWERK FUR INTERNATIONALE ZUSAMMENARBEIT	379.389

Decision Reference / Contract Number	Decision / Contract Title	Contract Year	Contractor Name	Total Contracted
235194	Turkmen-European Advanced Chamber EmpoweRment - TEACHER	2010	WIRTSCHAFTSKAMMER OSTERREICH	278.605
250905	Central Asia Invest Networking Meeting 2011 (Logistics)	2010	LDK CONSULTANTS ENGINEERS & PLANNERS SA	110.203
232975	Evaluation of project proposals submitted under the Central Asia Invest II Programme - 2010 Deadline	2010	ITALTREND SPA	65.684
233297	Training session for short-listed applicants, Dushanbe 2010	2010	BCD TRAVEL BELGIUM NV	59.544
254078	Central Asia Invest Brochure	2010	TIPIK COMMUNICATION AGENCY SA	45.210
236858	Support for briefing short-listed applicants under the 2010 Central Asia Invest Restricted Call for Proposals	2010	JAN DOUWE MEINDERTSMA	6.163
235714	Travel for Turkmen participation in Evaluation Committee of Central Asia Invest	2010	AMERICAN EXPRESS CORPORATE TRAVEL NV	940
DCI-ASIE/2012/023-448	**Central Asia Invest III**			**4.910.902**
318900	Central Asia Initiative Phase 2	2013	ORGANISATION FOR ECONOMIC CO-OPERATION AND DEVELOPMENT	1.000.000
315346	Development of the Fruit and Vegetable Processing Sectors in Kyrgyzstan and Tajikistan (DFVP)	2013	BRITISH EXPERTISE LBG	500.000
334914	Strengthening Central Asia Entrepreneurship Ecosystem	2013	CENTRE FOR ENTREPRENEURSHIP AND EXECUTIVE DEVELOPMENT - BULGARIA FOUNDATION	500.000
331342	Handicraft and business through regional integration and fair trade market	2013	CESVI FONDAZIONE ONLUS ASSOCIAZIONE	499.106
315315	Creation of an extended ecological production by women handicraft cooperatives in the Kyrgyz Republic and Republic of Tajikistan and a sustainable marketing development in countries of Central Asia, Asia and Europe	2013	UMBRIA EXPORT SCARL	495.001
331677	Uniting and Strengthening Community Based Tourism Associations in Central Asia	2013	AGENCE D'AIDE A LA COOPERATION TECHNIQUE ET AU DEVELOPPEMENT	489.687
315378	Economic Development in Central Asia through promotion of BIOs and SMEs in the food processing sector (EcoDev)	2013	HILFSWERK AUSTRIA INTERNATIONAL - OSTERREICHISCHES HILFSWERK FUR INTERNATIONALE ZUSAMMENARBEIT	464.713

Decision Reference / Contract Number	Decision / Contract Title	Contract Year	Contractor Name	Total Contracted
315386	Investments in the Regions as a Basis for the Competitive State	2013	ZUBR SOCIAL CORPORATIVE FOUNDATION	418.682
331346	Tajik Women Economic Empowerment-TWEET	2013	SEQUA GGMBH	364.225
331107	Central Asia Invest Networking meeting - Logistics	2013	CONCEPTION, ETUDES ET COOPERATION DE FORMATION SA	104.628
309189	Evaluation of proposals CfP CAI III	2013	IBF INTERNATIONAL CONSULTING SA	41.695
330871	Central Asia Invest Networking Meeting - Experts	2013	HTSPE LIMITED	33.166
DCI-ASIE/2010/021-627	**Investment Facility for Central Asia 2010 (IFCA)**			**2.600.000**
280619	IFCA grant in support of the EIB window of the Kazakhstan Sustainable Energy Financing Facility ("KazSEFF"),	2011	EUROPEAN INVESTMENT BANK	2.600.000
DCI-ASIE/2011/023-117	**Investment Facility for Central Asia (IFCA)**			**23.840.000**
309003	IFCA contribution to the SME Finance Facility in Central Asia	2012	EUROPEAN BANK FOR RECONSTRUCTION AND DEVELOPMENT	11.240.000
308996	Kyrgyzstan Sustainable Energy Financing Facility (KyrSEFF)"	2012	EUROPEAN BANK FOR RECONSTRUCTION AND DEVELOPMENT	7.000.000
302242	Subscription and Management in the name of KfW and for the account of the European Commission of a participation in the Microfinance Initiative for Asia (MIFA) as well as a contribution to the ancillary TA Facility.	2012	KREDITANSTALT FUR WIEDERAUFBAU	3.000.000
279940	"IFCA grant in support of EBRD window of the Kazakhstan Sustainable Energy Financing Facility (KazSEFF II)"	2011	EUROPEAN BANK FOR RECONSTRUCTION AND DEVELOPMENT	2.600.000
DCI-ASIE/2013/024-950	**Investment Facility for Central Asia 2013 (IFCA)**			**593.344**
336124	Technical Assistance to KazAgro Climate loan for SMEs, Midcaps and MSMEs"	2013	EUROPEAN INVESTMENT BANK	593.344

4   Higher education sector

4.1   Tempus

Project Number	Project number	Contract Year	Name of lead institution	Grant awarded
144790	Création de 4 " Ecoles Hôtelières Supérieures d'Application (ESHA) "	2008	GIP Formation et insertion professionnelle Académie Grenoble	1.367.251
144976	Professionnalisation des enseignements en travail social	2008	GIP Formation et insertion professionnelle Académie Grenoble	1.330.929
144935	Chemical Engineering: Curriculum Development and International Recognition	2008	University College London	1.305.661
543746	InnoLabs in Central Asia for a sustainable catalyzation of innovation in the Knowledge Triangle	2013	Autonomous University of Barcelona	1.303.415
544319	Réseau Professionnel de Masters "Informatique Seconde Compétence"	2013	Université Pierre Mendès France - Grenoble 2	1.238.726
516721	Licence-Master professionnels en psychologie sociale pour intervenir auprès des publics migrants en Russie, Ukraine et au Kazakhstan	2011	University Alexandru Ioan Cuza	1.224.705
544126	Strengthening Career Centres in Central Asia Higher Education Institutions to empower graduates in obtaining and creating quality employment	2013	University of Alicante	1.223.104
511172	Curriculum Invoking Bologna-aligned Education Leading to reform in Environmental Studies	2010	Georg-August-Universität Göttingen	1.209.225
544161	Developing the Teaching of European Languages: Modernising Language Teaching through the development of blended Masters Programmes	2013	Aston University	1.190.619
159161	Implementing tools and policies for quality work at institutional level	2009	Royal Institute of Technology	1.187.380
516664	Modernising Undergraduate Medical Education in EU Eastern Neighbouring Area	2011	University of Leeds	1.181.003

Project Number	Project number	Contract Year	Name of lead institution	Grant awarded
530244	Modernizing higher engineering education in Georgia, Ukraine and Uzbekistan to meet the technology challenge	2012	Royal Institute of Technology	1.169.357
530786	Towards a Central Asian Higher Education Area: Tuning Structures and Building Quality Culture	2012	University of Groningen	1.161.935
144681	Curricula Reform in Space Technology in KZ,RU,UA	2008	Technische Universität Berlin	1.158.284
144950	Entrepreneurial University as a model for proper managerial interrelation among education, science and innovation development	2008	Fondazione Politecnico di Milano	1.153.461
530519	Establishment of the Supra-Regional Network of the National Centres in Medical Education, focused on PBL and Virtual Patients.	2012	Aristotle University of Thessaloniki	1.151.093
544293	Foreign Languages Education for Professional Purposes	2013	Azerbaijan University of Languages	1.142.902
530326	Quality of Engineering Education in Central Asia	2012	Università degli Studi di Firenze	1.105.794
510920	Practice oriented Master Programmes in Engineering in RU, UA and UZ	2010	Technische Universität Berlin	1.091.913
517340	DOCUMENTATION FOR QUALITY ASSURANCE OF STUDY PROGRAMMES	2011	Università degli Studi di Genova	1.089.600
516802	STUdents self-governance & Democratic Involvement in Kazakhstan	2011	Technische Universität Dresden	1.082.274
530154	Student Support and Development Services	2012	Università degli Studi de l'Aquila	1.074.708
517361	Technical Education on Resource Savings for Industrial Development	2011	Politecnico di Torino	1.065.932
530793	Master programmes on Renewable Energy and Energy Efficiency in Buildings in Central Asia and Russia	2012	Lund University	1.030.691
159025	Reseau Europe-Russie-Asie Centrale de Masters "Informatique Seconde Compétence" (ERAMIS)	2009	Université Pierre Mendès France - Grenoble 2	1.017.530

Project Number	Project number	Contract Year	Name of lead institution	Grant awarded
158677	Higher Education Initiative for Informatics in Central Asia	2009	Technische Universität Dresden	1.012.232
517346	Establishing Modern Master-level Studies in Industrial Ecology	2011	Royal Institute of Technology	1.010.907
144563	Master " Interopérabilité / Sécurité / Certification " dans le domaine du Transport International Ferroviaire en Ukraine et en Asie Centrale	2008	Conservatoire National des arts et Métiers	986.511
144747	Masters Ingénieurs Economistes de l'Energie et du Développement Durable	2008	Université Pierre Mendès France - Grenoble 2	956.376
544445	Higher Education Structures to Enhance Public Health Learning and Teaching in the Republic of Uzbekistan	2013	University of Pisa	927.046
544283	Lifelong Language Learning University Centre Network for New Career Opportunities and Personal Development	2013	University of Cordoba	905.374
543808	PICTET: EQF-based professiona ICT training for Russia and Kazakhstan	2013	University College Ghent	898.925
544573	Towards the ModernisATion of Higher Education InstitutiionS in Uzbekistan	2013	University of Ruse Angel Kanchev	897.896
544601	Einführung des Qualitätsmanagements im E-Learning an zentralasiatischen Hochschulen	2013	Fachhochschule des Mittelstands	897.506
158918	Teaching Competency and Infrastructure for e-Learning and Retraining	2009	University of Vienna	870.554
516996	Enhancement of Quality Assurance System through Professional Development of Academic Leaders	2011	London Metropolitan University	869.612
511060	Entwicklung und Implementierung nachhaltig wirksamer Strukturen zur Entrepreneurship Erziehung in der Russischen Föderation und Tadschikistan	2010	Wirtschaftsuniversität Wien	864.011

Project Number	Project number	Contract Year	Name of lead institution	Grant awarded
530666	Master program in environmental science and sustainable development with focus on water management for Uzbekistan higher education	2012	Kaunas University of Technology	852.363
530808	Geoinformatics: enabling sustainable development in Uzbekistan	2012	University of West Hungary	847.668
530634	Central Asian Network for Education, Research and Innovation in Environmental Health	2012	Università degli Studi di Milano	846.178
511337	Enhancement of role of universities in transfer of innovations into enterprise	2010	Leipzig University of Applied Sciences	840.307
544061	Curriculum development: Highway/Road Construction Engineering and Vehicle Engineering	2013	University of Birmingham	837.997
530718	Integrating Water cycle management: building capability, capacity and impact in Education and Business	2012	Middlesex University	835.178
510978	Geoinformatics: Managing Energy, Resources, Environment	2010	Paris-Lodron University Salzburg	835.024
516663	Internationalization in Central Asia and the Eastern Neighbouring Area	2011	University of Sevilla	825.618
530574	Advanced Curriculum development in Telemedicine for Turkmenistan	2012	University of Cordoba	812.916
544490	A Methodology for the Formation of Highly Qualified Engineers at Masters Level in the Design and Development of Advanced Industrial Informatics Systems	2013	Technical University of Valencia	797.909
145686	Awareness raising, Interest development, Desire creation and Action stimulation on the Bologna Process expansion in Central Asian countries and Russia	2008	Université Pierre Mendès France - Grenoble 2	791.093

Project Number	Project number	Contract Year	Name of lead institution	Grant awarded
544132	Development and implementation of masters programmes in food safety and the production and marketing of TRADitional food PROducts in Russia and Kazakhstan	2013	Montpellier SupAgro - Centre international d'études supérieures en sciences agronomiques	787.351
510952	Creation of third cycle studies - Doctoral Programme in Renewable Energy and Environmental Technology	2010	Royal Institute of Technology	786.242
517170	Development and implementation of the Master Programme -"Eco-Engineering - environmental processing and sustainable use of renewable recourses and bio-waste"	2011	Hochschule Wismar, University of Applied Sciences	783.573
543922	Introduction of new Master program and Doctoral courses in Mechatronics in Uzbekistan	2013	Royal Institute of Technology	774.107
517504	Modernization and development of curricula on pedagogy and educational management in the Central Asian countries	2011	Otto-von-Guericke-University Magdeburg	769.373
517325	MASTER DEGREE ON INFORMATICS AND TELECOMMUNICATION: EU EXPERIENCE FOR TAJIKISTAN	2011	University of Trento	755.514
544180	Sustainable Agrarian Management Studies for Uzbekistan	2013	Justus Liebig Universität Giessen	752.284
511347	Etablierung eines Blended-Learning basierten Bachelor-Studiengangs "Energiemanagement" in Kasachstan und Turkmenistan	2010	Fachhochschule des Mittelstands	745.422
543657	Promoting Educational Organisation through peoPLE	2013	Turar Ryskulov Kazakh Economic University	745.275
517313	Environment protection through development and application of sustainable Agriculture Technologies	2011	Università degli Studi de l'Aquila	738.672
145171	Plan to Establish Research-Science-Enterprise oriented Universities for the benefit of Society	2008	University of Santiago de Compostela	736.125
530284	High Objectives of National Organizational Reform	2012	Technical University of Catalonia	711.814

Project Number	Project number	Contract Year	Name of lead institution	Grant awarded
543704	IT Training for non-university teachers	2013	University Politehnica of Bucharest	699.588
516682	Institute for Strategic Management of Universities	2011	Technical University of Catalonia	694.434
158982	TOWARDS SUSTAINABLE WATER RESOURCES MANAGEMENT IN CENTRAL ASIA	2009	University of Alicante	693.020
543893	Development and improvement of the University Administration on International Affairs	2013	Azerbaijan State Economic University	653.909
145021	NEW MASTERS PROGRAMME ON LIBRARY AND INFORMATION SCIENCE	2008	Middlesex University	617.787
544134	Enhancing quality of doctoral education at Higher Education Institutions in Uzbekistan	2013	Network of Universities form the Capitals of Europe	615.204
530183	QUALIFICATION FRAMEWORKS IN CENTRAL ASIA: BOLOGNA-BASED PRINCIPLES AND REGIONAL COORDINATION	2012	University of Koblenz-Landau	611.172
530183	QUALIFICATION FRAMEWORKS IN CENTRAL ASIA: BOLOGNA-BASED PRINCIPLES AND REGIONAL COORDINATION	2012	University of Koblenz-Landau	611.172
517379	Language and Pedagogical Innovation in Schools	2011	Heriot-Watt University	608.776
144791	Solar Cell Technology Courses for VET andIndustry in TM	2008	Autonomous University of Barcelona	600.738
544529	Modernisation of higher education in the area of food quality and safety in Tajikistan	2013	Latvia University of Agriculture	600.557
158974	Professional training of non-university teachers and transfer of experiences on the ECTS	2009	Technische Universität Dresden	600.507
510959	Development of Quality Assurance System in Turkmenistan on the base of Bologna Standards	2010	University of Koblenz-Landau	575.834
158887	Development of International Relations related to the Needs of the Higher Educational System of Turkmenistan (DoIT)	2009	University of Sevilla	559.015

Project Number	Project number	Contract Year	Name of lead institution	Grant awarded
145688	Central Asian Network for Quality Assurance and Accreditation	2008	Vrije Universiteit Brussel	399.222

4.2 Erasmus Mundus

Project Number	Project number	Contract Year	Name of lead institution	Grant awarded
2008-1971	L09-EM ECW - for Kyrgyztan, Tadjikistan,Turkmenistan and Uzbekistan	2008	ERASMUSHOGESCHOOL BRUSSEL	3.498.275
2007-1266	L09 - EM ECW for Uzbekistan, Kyrgyzstan and Tajikistan	2007	ERASMUSHOGESCHOOL BRUSSEL	3.091.000
2013-2723	TIMUR - Training of Individuals through Mobility to EU from Uzbek Republic	2013	WAGENINGEN UNIVERSITEIT	2.999.950
2013-2721	EACEA II - Euro-Asian Cooperation for Excellence and Advancement	2013	UNIVERZA V LJUBLJANI	2.574.600
2013-2718	SILKROUTE-SILK ROad Universities Towards Europe-STRAND1-LOT9	2013	UNIVERSITÁ DEGLI STUDI DI PADOVA	2.562.000
2012-2659	Transfer of skills, knowledge and ideas to Central Asia (Kazakhstan, Kyrgyzstan, Tajikistan, Uzbekistan, Turkmenistan)	2012	ADAM MICKIEWICZ UNIVERSITY	2.499.675
2012-2629	Central Asia Student International Exchange with EU (Kazakhstan, Kyrgyzstan, Tajikistan, Uzbekistan, Turkmenistan)	2012	WAGENINGEN UNIVERSITEIT	2.499.525
2009-1672	L09 - Kazakhstan, Kyrgyzstan, Tajikistan, Uzbekistan, Turkmenistan (Central Asia)	2009	ERASMUSHOGESCHOOL BRUSSEL	2.498.525
2012-2627	Euro-Asian Cooperation for Excellence and Advancement (Kazakhstan, Kyrgyzstan, Tajikistan, Uzbekistan, Turkmenistan)	2012	UNIVERZA V LJUBLJANI	2.478.800
2013-2720	CANEM II - Central Asian Network of Economics and Management	2013	UNIVERSIDAD DE LAS PALMAS DE GRAN CANARIA	2.449.750

Project Number	Project number	Contract Year	Name of lead institution	Grant awarded
2009-1648	L09 - Kazakhstan, Kyrgyzstan, Tajikistan, Uzbekistan, Turkmenistan (Central Asia)	2009	TECHNISCHE UNIVERSITEIT EINDHOVEN	2.426.900
2012-2630	Central Asian Network of Economics and Management (Kazakhstan, Kyrgyzstan, Tajikistan, Uzbekistan, Turkmenistan)	2012	UNIVERSIDAD DE LAS PALMAS DE GRAN CANARIA	2.425.500
2013-2722	GSMART - Spatial ICT Infrastructures for Smart Places (EU-Central Asia)	2013	UNIVERSITY OF SALZBURG	2.162.375
2011-2572	S1-L10 MARCO XXI	2011	GEORG-AUGUST-UNIVERSITÄT GÖTTINGEN	2.000.000
2011-2575	S1-L10 Academic Relationship for Central Asia with Destination Europe	2011	POLITECNICO DI TORINO	1.999.750
2011-2579	S1-L10 Central Asia Student International Academic exchange with EU	2011	WAGENINGEN UNIVERSITEIT	1.999.500
2010-5015	Central Asia Student International Academic exchange with EU - CASIA	2010	WAGENINGEN UNIVERSITEIT	1.998.800
2011-2569	S1-L10 Transfer of Appropriate Requirements for Global Education and Technology II	2011	KUNGLIGA TEKNISKA HÖGSKOLAN	1.997.775
2011-2571	S1-L10 euroAsian Starter for Technical Academic Network Application	2011	POLITECHNIKA WARSZAWSKA	1.989.750
2010-2365	S1-L09-MANECA-Mobility Academic Network between EU and Central Asia (KAZAKHSTAN, KYRGYZSTAN, TAJIKISTAN, UZBEKISTAN, TURKMENISTAN)	2010	TECHNISCHE UNIVERSITÄT BERLIN	1.950.000
2010-2347	S1-L09-CENTAURI (KAZAKHSTAN, KYRGYZSTAN, TAJIKISTAN, UZBEKISTAN, TURKMENISTAN)	2010	UNIVERSIDAD DE SANTIAGO DE COMPOSTELA	1.939.975
2010-2376	S1-L09-TOSCA-Transfer of skills, knwoledge and ideas to Central Asia (KAZAKHSTAN, KYRGYZSTAN, TAJIKISTAN, UZBEKISTAN, TURKMENISTAN)	2010	ADAM MICKIEWICZ UNIVERSITY	1.933.000

Project Number	Project number	Contract Year	Name of lead institution	Grant awarded
2010-2380	S1-L09-TARGET-Transfer of Appropriate Requirements for Global Education and Technology (KAZAKHSTAN, KYRGYZSTAN, TAJIKISTAN, UZBEKISTAN, TURKMENISTAN)	2010	KUNGLIGA TEKNISKA HÖGSKOLAN	1.920.325
2008-1969	L08 - Erasmus Mundus External Cooperation Window for Kazakhstan and Tajikistan	2008	TECHNISCHE UNIVERSITEIT EINDHOVEN	1.499.150
2007-1263	L08 - EM ECW for Kazakhstan	2007	TECHNISCHE UNIVERSITEIT EINDHOVEN	1.299.875
2014-0875	Central ASian and European Universities	2014	UNIVERSITAT DE BARCELONA	298.700

4.3   Higher education other

Decision Reference / Contract Number	Decision / Contract Title	Contract Year	Contractor Name	Total Contracted
DCI-ASIE/2013/024-604	**Central Asia Research and Education Network 2 (CAREN2)**			**2.880.778**
328578	Central Asia Research and Education Network 2 (CAREN2)	2013	DELIVERY OF ADVANCED NETWORK TECHNOLOGY TO EUROPE LIMTED	2.880.778
DCI-ASIE/2010/022-180	**Central Asian Education Platform**			**1.820.450**
279639	Central Asian Education programme	2011	GOPA-GESELLSCHAFT FUR ORGANISATION, PLANUNG UND AUSBILDUNG MBH	1.820.450
DCI-ASIE/2013/024-849	**Central Asian Education Platform 2013**			**0**
354952	Central Asia Education Platform 2 (CAEP 2)	2014	GOPA-GESELLSCHAFT FUR ORGANISATION, PLANUNG UND AUSBILDUNG MBH	0

Decision Reference / Contract Number	Decision / Contract Title	Contract Year	Contractor Name	Total Contracted
ICI +/2012/022-982	**European Higher Education Fairs (EHEFs) in Central Asia**			**377.000**
333992	European Higher Education Fairs in Central Asia and Asia	2013	SERVICIOS INTEGRALES DE CONTRATACION E INTERMEDIACION DOMINUS SL	377.000
ICI +/2012/022-983	**European Higher Education Fairs (EHEFs) in Asia**			**1.508.000**
333992	European Higher Education Fairs in Central Asia and Asia	2013	SERVICIOS INTEGRALES DE CONTRATACION E INTERMEDIACION DOMINUS SL	1.508.000

5   Non-focal sectors

Decision Reference / Contract Number	Decision / Contract Title	Contract Year	Contractor Name	Total Contracted
DCI-ASIE/2011/023-117	**Investment Facility for Central Asia (IFCA)**			**3.197.094**
335846	IFCA contribution to "Framework for Technical Assistance in Kazakhstan"	2013	EUROPEAN BANK FOR RECONSTRUCTION AND DEVELOPMENT	3.150.000
284317	Production and publication of the 2010-2011 Central Asia Investment Facility (IFCA) Operational Report	2012	JCP SRL	47.094
DCI-ASIE/2013/024-950	**Investment Facility for Central Asia 2013 (IFCA)**			**100.000**
335846	IFCA contribution to "Framework for Technical Assistance in Kazakhstan"	2013	EUROPEAN BANK FOR RECONSTRUCTION AND DEVELOPMENT	100.000
IFS-RRM/2011/023-428	**Regional conflict prevention programme in Kyrgyzstan and Central Asia: Support to early warning, conflict prevention and stabilisation**			**6.368.173**
282245	Conflict Mitigation and Peace Building in Kyrgyzstan	2011	FOLKEKIRKENS NODHJAELP FOND	4.593.335
285614	Human rights protection for stability in Central Asia	2012	UNITED NATIONS HIGH COMMISSIONER FOR HUMAN RIGHTS	1.550.855
310626	Monitoring and evaluation of parts of the regional conflict prevention programme in Kyrgyzstan and Central Asia	2012	HTSPE LIMITED	223.983

Decision Reference / Contract Number	Decision / Contract Title	Contract Year	Contractor Name	Total Contracted
ENPI/2007/019-143	**Support to Energy Market Integration and Sustainable Energy in the NIS (SEMISE)**			**5.575.157**
163877	Support to Energy Market Integration and Sustainable Energy in the NIS (SEMISE)	2008	RAMBOLL DANMARK AS	5.575.157
DCI-ASIE/2009/020-601	**Sustainable Energy Programme for Central Asia (2009): Renewable Energy Sources - Energy Efficiency (RES – EE)**			**3.963.350**
316640	Sustainable Energy Program for Central Asia : Renewable Energy Sources & Energy Efficiency (RES & EE)	2013	DEUTSCHE GESELLSCHAFT FUR INTERNATIONALE ZUSAMMENARBEIT (GIZ) GMBH	3.963.350
EIDHR/2007/019-376	**EIDHR 2007 AAP - without country based support schemes - without targeted projects**			**1.731.583**
154363	Building Central Asian Human Rights Protection & Education Through The Media	2008	THE INSTITUTE FOR WAR AND PEACEREPORTING (IWPR) LBG	1.275.921
159294	CIVICUS WORLD ALLIANCE FOR CITIZENPARTICIPATION COMPANY LIMITED BY GUARANTEE	2008	CIVICUS WORLD ALLIANCE FOR CITIZENPARTICIPATION COMPANY LIMITED BY GUARANTEE	455.662
MIGR/2006/018-381	**PAT 2006 Aeneas**			**930.144**
130131	Regulating labour migration as an instrument of development and regional cooperation.	2007	INTERNATIONAL LABOUR ORGANIZATION	930.144
EIDHR/2012/023-790	**EIDHR 2012 Annual Action Programme - Without Country Based Support Schemes and Targeted Projects**			**850.000**
321427	Digital Security Support for Human Rights Defenders Through Field-based Assistance, Direct Material Support and Provision of Up-to-Date Security Tools and Resources	2013	FRONT LINE THE INTERNATIONAL FOUNDATION FOR THE PROTECTION OF HUMAN RIGHTS DEFENDERS TRUST	850.000
DCI-MIGR/2010/022-214	**Thematic Programme for Migration and Asylum AAP 2010 part 2 - Call for proposals 2009-2010**			**698.937**
229713	Strengthening the capacity of the Central Asian Republics to protect and assist victims of trafficking in persons and smuggled migrants, especially women and children, in partnership with NGOs and other civil society actors	2011	UNITED NATIONS OFFICE ON DRUGS ANDCRIME	698.937

Decision Reference / Contract Number	Decision / Contract Title	Contract Year	Contractor Name	Total Contracted
DCI-HUM/2010/022-127	**Strengthening Capacities in the Cultural Sector – Call for Proposal**			**398.000**
272005	Strengthening the Musical Culture in Central Asia through Regional Cooperation, Integration and International Networking	2011	CESVI FONDAZIONE ONLUS ASSOCIAZIONE	398.000

Annex 3: Project sample

Decision /contract	Regional dimension	Country coverage	Temporal coverage	Volume of funding
Environment				
FLERMONECA, c#312322 (*EURECA*)	Strong	KG, KZ, TJ, TM, UZ	2013-ongoing	4,388,250
WECOOP, c#262949 (*EURECA*)	Strong	KG, KZ, TJ, TM	2012-2014	1,496,320
Supporting water management and strengthening transboundary river basin administrations in Central Asia (WMBOCA), c#277119 (*EURECA*)	Strong	KG, KZ, TJ, TM	2011-2014	1,279,677
Capacity building on river basin planning for water management organisations and joint river basin structures (WMBOCA), c#277128 (*EURECA*)	Strong	KG, KZ, TJ, TM	2011-2014	719,996
Support to the OECD and the UNECE to facilitate national policy dialogues on water in countries in Eastern Europe, the Caucasus and Central Asia (EECCA) under the EU Water Initiative, c#260062 (*EUWI EECCA*)	Strong	KG, KZ, TJ, TM	2012-ongoing	3,200,000
Support to OECD for the implementation of National Policy Dialogues in EECCA countries under the EU Water Initiative, c#149674 (*EUWI EECCA*)	Strong	KG, TJ, TM	2008-2012	1,673,373
Promoting Integrated Water Resources Management and Fostering Transboundary Dialogue in Central Asia, c#170182 (*Toward a sustainable management of water resources in CA*)	Strong	KG, KZ, TJ	2008-2013	1,500,000
Border Management				
Border Management in Central Asia Phase 8 (BOMCA 8), DCI-ASIE/2009/021-058	*Strong*	*KZ, KG, TJ, TK, UZ*	*2009-2014*	*7,900,000*
Central Asia Drug Action Programme Phase 5 (CADAP 5), DCI-ASIE/2008/019-812	*Strong*	*KZ, KG, TJ, TK, UZ*	*2010-2014*	*4,900,000*
Border monitoring activities in the Republic of Georgia, Central Asia and Afghanistan, c#235364 (*Non-proliferation of WMD*)	Medium	TJ, UZ	2010-2015	4,000,000
SME				
Central Asia Invest, DCI-ASIE/2007/019-269	*Medium*	*KG, KZ, TJ, TM, UZ*	*2007-2009*	*4,558,000*
• Support to food processing enterprises in Tajikistan, c#150278 (*Central Asia Invest)*	Weak	TJ	2008-2010	428,602
Central Asia Invest II, DCI-ASIE/2009/020-507	*Strong*	*KG, KZ, TJ, TM, UZ*	*2010-2012*	*5,117,288*
• Central Asia Initiative, c#229011 (*Central Asia Invest II*)	Strong	KG, KZ, TJ, TM, UZ	2009-2012	1,000,000

Decision /contract	Regional dimension	Country coverage	Temporal coverage	Volume of funding
• Integrated approach towards promoting Central Asian Nuts, Dried fruits and Honey processing SME (CANDY), c#256682 (*Central Asia Invest II*)	Strong	KG, KZ, TJ, TM, UZ	2010-2012	379,389
Central Asia Invest III, DCI-ASIE/2012/023-448	*Strong*	*KG, KZ, TJ, TM, UZ*	*2013-ongoing*	*4,910,902*
• Central Asia Initiative Phase 2, c#318900 (*Central Asia Invest III*)	Strong	KG, KZ, TJ, TM, UZ	2013-2014	1,000,000
• Creation of an extended ecological production by women handicraft cooperatives in the KG and TJ and a sustainable marketing development in countries of CA, Asia and Europe, c#315315 (*Central Asia Invest III*)	Medium	KG, TJ	2013-2014	499,106
SME Finance Facility in Central Asia, c#309003 (*IFCA*)	Strong	KG, KZ, TJ, TM, UZ	2012-ongoing	11,240,000
Microfinance Initiative for Asia (MIFA), c#302242 (*IFCA*)	Strong	KG, KZ, TJ, TM, UZ	2013-ongoing	3,000,000
Higher Education				
Erasmus Mundus Action 2	*Strong*	*KG, KZ, TJ, TM, UZ*	*2007-2013*	*33,375,899 (excluding multi-region projects)*
• CANEM II- Central Asian Network of Economics and Management	Strong	KG, KZ, TJ, TM, UZ	2013	2,449,750
• TARGET - Transfer of Appropriate Requirements for Global Education and Technology	Strong	KG, KZ, TJ, TM, UZ	2010	1,920,325
• L09 – Erasmus Hogeschool Brussels	Strong	KG, KZ, TJ, TM, UZ	2009	2,498,525
Tempus IV	*Strong*	*KG, KZ, TJ, TM, UZ*	*2007-13*	*56,993,475*
• CANQA - Central Asian Network for Quality Assurance and Accreditation	Strong	KG, KZ, TJ	2008	399,222
• CIBELES - Curriculum Invoking Bologna-aligned Education Leading to reform in Environmental Studies	Strong	KG, KZ, TJ, TM, UZ	2010	1,209,225
• TUCAHEA - Towards a Central Asian Higher Education Area: Tuning Structures and Building Quality Culture	Strong	KG, KZ, TJ, TM, UZ	2012	1,161,935
Central Asian Education Platform (CAEP), DCI-ASIE/2010/022-180	*Strong*	*KG, KZ, TJ, TM, UZ*	*2012-2015*	*1,820,450*
Additional interventions considered under transversal EQs				
Climate Change and Security in Eastern Europe, Central Asia and the Southern Caucasus (ENVSEC), c#308039 (*IfS Crisis Preparedness Component*)	Strong	KG, KZ, TJ, TM, UZ	2013-ongoing	1,500,000
Rule of Law Platform, c#276619	Strong	KG, KZ, TJ, TM, UZ	2011-2014	1,859,250

Annex 4: List of documents and sources of information

EQ 1 on environment

Bosch, H.M. (2012): Terminal Evaluation Report, «Promoting Integrated Water Resources Management and Fostering Transboundary Dialogue in Central Asia», UNDP

Council of the European Union (2010): Joint Progress Report by the Council and the European Commission to the European Council on the implementation of the EU Central Asia Strategy, Brussels

EC (2008): Identification Fiche for Project Approach, Draft, Regional Environmental Programme for Central Asia, CRIS ref: 019-724

EC (2009): Action Fiche N° 3 for Central Asia Region, Regional Environment Programme for Central Asia - EURECA (2009) - DCI-ASIE/2009/021-337

EC (2011): Annex I DCI-ENV 12011/260-062, 2.1 Description of the action

EC (2012): Monitoring Report, Promoting Integrated Water Resources Management and Fostering Transboundary Dialogue in Central Asia, MR-132004.12

EC (2012): Monitoring Report, Supporting water management and strengthening transboundary river basin administrations in Central Asia, MR-144988.02

EC (2012): Monitoring Report, Supporting water management and strengthening transboundary river basin administrations in Central Asia, MR-144988.04

EC (2012): Monitoring Report, Supporting water management and strengthening transboundary river basin administrations in Central Asia, MR-144988.03

EC (2013): Monitoring Report, Capacity building on river basin planning for water management organisations and joint river basin structures, MR-144991.09

EC (2013): Monitoring Report, Capacity building on river basin planning for water management organisations and joint river basin structures, MR-144991.07

EC (2013): Monitoring Report, Capacity building on river basin planning for water management organisations and joint river basin structures, MR-144991.08

EC (2013): Monitoring Report, Capacity building on river basin planning for water management organisations and joint river basin structures, MR-144991.06

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